# National Unified Operational Prediction Capability

# **NUOPC Layer Reference**

**ESMF v6.3.0rp1** 

CSC Committee Members

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# 1 Description

The NUOPC Layer is an add-on to the standard ESMF library. It consists of generic code of two different kinds: *utility routines* and *generic components*. The NUOPC Layer further implements a dictionary for standard field metadata.

The utility routines are subroutines and functions that package frequently used calling sequences of ESMF methods into single calls. Unlike the pure ESMF API, which is very class centric, the utility routines of the NUOPC Layer often implement tasks that involve several ESMF classes.

The generic components are provided in form of Fortran modules that implement GridComp and CplComp specific methods. Generic components are useful when implementing NUOPC compliant driver, model, mediator, or connector components. The provided generic components form a hierarchy that allows the developer to pick and choose the appropriate level of specification for a certain application. Depending on how specific the chosen level, generic components require more or less specialization to result in fully implemented components.

# 2 Design and Implementation Notes

The NUOPC Layer is implemented in Fortran on top of the public ESMF Fortran API.

The NUOPC utility routines form a very straight forward Fortran API, accessible through the NUOPC Fortran module. The interfaces only use native Fortran types and public ESMF derived types. In order to access the utility API of the NUOPC Layer, user code must include the following two use lines:

use ESMF use NUOPC

# 2.1 Generic Components

The NUOPC generic components are implemented as a *collection* of Fortran modules. Each module implements a single, well specified set of standard ESMF\_GridComp or ESMF\_CplComp methods. The nomenclature of the generic component modules starts with the NUOPC\_ prefix and continues with the flavor: Driver, Model, Mediator, or Connector. This is optionally followed by a string of additional descriptive terms. The four flavors of generic components implemented by the NUOPC Layer are:

- NUOPC\_Driver A generic driver component. It implements a child component harness, made of State and Component objects, that follows the NUOPC Common Model Architecture. It is specialized by plugging Model, Mediator, and Connector components into the harness. Driver components can be plugged into the harness to construct component hierarchies. The generic Driver initializes its child components according to a standard Initialization Phase Definition, and drives their Run() methods according a customizable run sequence.
- NUOPC\_Model A generic model component that wraps a model code so it is suitable to be plugged into a generic Driver component.
- NUOPC\_Mediator A generic mediator component that wraps custom coupling code (flux calculations, averaging, etc.) so it is suitable to be plugged into a generic Driver component.
- NUOPC\_Connector A generic component that implements Field matching based on metadata and executes simple transforms (Regrid and Redist). It can be plugged into a generic Driver component.

The user code accesses the desired generic component(s) by including a use line for each one. Each generic component defines a small set of public names that are made available to the user code through the use statement. At a minimum the SetServices method is made public. Some generic components also define a public internal state type by the standard name InternalState. It is recommended that the following syntax is used when accessing a generic component (here with internal state):

```
use NUOPC_DriverXYZ, only: &
  DriverXYZ_SS => SetServices, &
  DriverXYZ_IS => InternalState
```

A generic component is used by user code to implement a specialized version of the component. The user code therefore also must implement a public SetServices routine. The first thing this routine must do is call into the SetServices routine provided by the generic component. It is through this step that the specialized component *inherits* from the generic component.

There are three mechanisms through which user code specializes generic components.

- 1. The specializing user code must set entry points for standard component methods not implemented by the generic component. Methods (and phases) that need to be implemented are clearly documented in the generic component description. The user code may further overwrite standard methods already implemented by the generic component code. However, this should rarely be necessary, and may indicate that there is a better fitting generic component available. Finally, some generic components come with generic routines that are suitable candidates for the standard component methods, yet require that the specializing code registers them as appropriate. Setting entry points for standard component methods is done in the SetServices routine right after calling into the generic SetServices method.
- 2. Some generic components require that specific methods are attached to the component. If a generic component uses specialization through attachable methods, the specific method labels (i.e. the names by which these methods are registered) and the purpose of the method are clearly documented. In some cases attachable methods are optional. This is clearly documented. Further, some generic components attach a default method to a label, which then is used for all phases. This default can be overwritten with a phase specific attachable method. Attaching methods to the component should be done in the SetServices routine right after setting entry points for the standard component methods.
- 3. Some generic components provide access to an internal state type. The documentation of a generic component indicates which internal state members are used for specialization, and how they are expected to be set. Setting internal state members often requires the availability of other pieces of information. It may happend in the SetServices routine, but more often inside a specialized standard entry point or an attachable method.

Components that inherit from a generic component may choose to only specialize certain aspects, leaving other aspects unspecified. This allows a hierarchy of generic components to be implemented with a high degree of code re-use. The variable level of specialization supports the very differing user needs. Figure 1 depicts the inheritance structure of the NUOPC Generic Components. There are two trees, one is rooted in ESMF\_GridComp, while the other is rooted in ESMF\_CplComp.

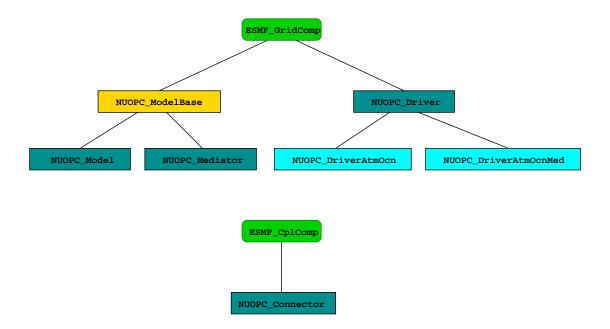


Figure 1: The NUOPC Generic Component inheritance structure. The upper tree is rooted in ESMF\_GridComp, while the lower tree is rooted in ESMF\_CplComp. The ESMF data types are shown in green. The four main NUOPC Generic Component flavors are shown in dark blue boxes. Light blue boxes contain generic components that specialize for common cases, while the yellow box shows a parent class in the inheritance tree.

# 2.2 Field Dictionary

The NUOPC Layer uses standard metadata on Fields to guide the decision making that is implemented in generic code. The generic NUOPC\_Connector component, for instance, uses the StandardName Attribute to construct a list of matching Fields between the import and export States. The NUOPC Field Dictionary provides a software implementation of a controlled vocabulary for the StandardName Attribute. It also associates each registered StandardName with canonical Units, a default LongName, and a default ShortName.

The NUOPC Layer provides a number of default entries in the Field Dictionary, shown in the table below. The StandardName Attribute of all default entries complies with the Climate and Forecast (CF) conventions as documented at http://cf-pcmdi.llnl.gov/.

Currently it is typically that a user of the NUOPC Layer extends the Field Dictionary by calling the NUOPC\_FieldDictionaryAddEntry() interface to add additional entries. It is our intention to grow the number of default entries over time, and to more strongly leverage the NUOPC Field Dictionary to ensure meta data interoperability between codes that use the NUOPC Layer.

Besides the StandardName Attribute, the NUOPC Layer currently only uses the Units entry to verify that Fields are given in their canonical units. The plan is to extend this to support unit conversion in the future. The default LongName and default ShortName associations are provided as a convenience to the implementor of NUOPC compliant components; the NUOPC Layer itself does not base any decisions on these two Attributes.

StandardName	Units	LongName	ShortName
	(canonical)	(default)	(default)
air_pressure_at_sea_level	Pa	Air Pressure at Sea Level	pmsl

magnitude_of_surface_downward_stress	Pa	Magnitude of Surface Downward Stress	taum
precipitation_flux	kg m-2 s-1	Precipitation Flux	prcf
sea_surface_height_above_sea_level	m	Sea Surface Height Above Sea Level	ssh
sea_surface_salinity	1e-3	Sea Surface Salinity	SSS
sea_surface_temperature	K	Sea Surface Temperature	sst
surface_eastward_sea_water_velocity	m s-1	Surface Eastward Sea Water Velocity	sscu
surface_downward_eastward_stress	Pa	Surface Downward Eastward Stress	tauu
surface_downward_heat_flux_in_air	W m-2	Surface Downward Heat Flux in Air	hfns
surface_downward_water_flux	kg m-2 s-1	Surface Downward Water Flux	wfns
surface_downward_northward_stress	Pa	Surface Downward Northward Stress	tauv
surface_net_downward_shortwave_flux	W m-2	Surface Net Downward Shortwave Flux	rsns
surface_net_downward_longwave_flux	W m-2	Surface Net Downward Longwave Flux	rlns
surface_northward_sea_water_velocity	m s-1	Surface Northward Sea Water Velocity	sscv

# 2.3 Metadata

# 2.3.1 Model and Mediator Component Metadata

The Model and Mediator Component metadata is implemented as an ESMF Attribute Package:

• Convention: NUOPC

• Purpose: General

• Includes:

CIM Model Component Simulation Description (see for example the Component Attribute packages section in the ESMF v5.2.0rp2 documentation)

• Description: Model/Mediator component description and nesting metadata.

Name	Definition	Controlled Vocabulary
Verbosity	String value controlling the verbosity of INFO messages.	high, low
InitializePhaseMap	List of string values, mapping the logical NUOPC initialize phases, of a specific Initialize Phase Definition (IPD) version, to the actual ESMF initialize phase number under which the entry point is registered.	IPDvXXpY=Z, where $XX = \text{two-digit revision}$ number, e.g. 01, Y = logical NUOPC phase number, Z = actual ESMF phase number, with Y, Z > 0 and Y, Z < 10
NestingGeneration	Integer value enumerating nesting level.	0, 1, 2,
Nestling	Integer value enumerating siblings within the same generation.	0, 1, 2,
InitializeDataComplete	String value indicating whether all initialize data dependencies have been satisfied.	false, true
InitializeDataProgress	String value indicating whether progress is being made resolving initialize data dependencies.	false, true

# 2.3.2 Connector Component Metadata

The Connector Component metadata is implemented as an ESMF Attribute Package:

• Convention: NUOPC

• Purpose: General

• Includes:

ESG General (see for example the Component Attribute packages section in the ESMF v5.2.0rp2 documentation)

• Description: Basic component description and connection metadata.

Name	Definition	Controlled Vocabulary	
Verbosity	String value controlling the ver-	high, low	
	bosity of INFO messages.		
InitializePhaseMap	List of string values, mapping the	IPDvXXpY=Z, where	
	logical NUOPC initialize phases, of	XX = two-digit revision	
	a specific Initialize Phase Defini-	number, e.g. $01, Y =$	
	tion (IPD) version, to the actual logical NUOPC		
	ESMF initialize phase number un-	number, $Z = actual$	
	der which the entry point is regis-	ESMF phase number,	
	tered.	with $Y, Z > 0$ and $Y, Z <$	
		10	
CplList	List of StandardNames of the con-	N/A	
	nected Fields.		

# 2.3.3 Field Metadata

The Field metadata is implemented as an ESMF Attribute Package:

• Convention: NUOPC

• Purpose: General

• Includes:

- ESG General

• Description: Basic Field description with connection and time stamp metadata.

Name	Definition	Controlled Vocabulary
Connected	Connected status.	false, true
TimeStamp	Nine integer values representing	N/A
	ESMF Time object.	
ProducerConnection	String value indicating connection	open, targeted,
	details.	connected
ConsumerConnection	String value indicating connection	open, targeted,
	details.	connected
Updated	String value indicating updated sta-	false, true
	tus during initialization.	
TransferOfferGeomObject	String value indicating a compo-	will provide,
	nent's intention to transfer the un-	can provide,
	derlying Grid or Mesh on which an	cannot provide
	advertised Field object is defined.	
TransferActiveGeomObject	String value indicating the action a	provide, accept
	component is supposed to take with	
	respect to transferring the underly-	
	ing Grid or Mesh on which an ad-	
	vertised Field object is defined.	

# 2.4 Initialization

### 2.4.1 Initialize Phase Definitions

The interaction between NUOPC compliant components during the initialization process is regulated by the **Initialize Phase Definition** or **IPD**. The IPDs are versioned, with a higher version number indicating backward compatibility with all previous versions.

There are two perspectives of looking at the IPD. From the driver perspective the IPD regulates the sequence in which it must call the different phases of the Initialize() routines of its child components. To this end the generic NUOPC\_Driver component implements support for IPDs up to a version specified in the API documenation.

The other angle of looking at the IPD is from the driver's child components. From this perspective the IPD assigns specific meaning to each initialize phase. The child components of a driver can be divided into two groups with respect to the meaning the IPD assigns to each initialize phase. In one group are the model, mediator, and driver components, and in the other group are the connector components. The following tables document the meaning of each initialization phase for the two different child component groups for the different IPD versions. The phases are listed in the prescribed sequence used by the driver.

IPDv00 label	Child Group	Meaning
IPDv00p1	model, mediator, driver	Advertise the import and export Fields.
IPDv00p1	connector	Construct the CplList Attribute on the
		connector.
IPDv00p2	model, mediator, driver	Realize the import and export Fields.
IPDv00p2	connector	Set the Connected Attribute on each im-
		port and export Field. Precompute the
		RouteHandle.
IPDv00p3	model, mediator, driver	Check compatibility of the Fields'
		Connected status.
IPDv00p4	model, mediator, driver	Handle Field data initialization. Time
		stamp the export Fields.

IPDv01 label	Child Group	Meaning
IPDv01p1	model, mediator, driver	Advertise the import and export Fields.
IPDv01p1	connector	Construct the CplList Attribute on the
		connector.
IPDv01p2	model, mediator, driver	unspecified
IPDv01p2	connector	Set the Connected Attribute on each im-
		port and export Field.
IPDv01p3	model, mediator, driver	Realize the import and export Fields.
IPDv01p3	connector	Precompute the RouteHandle.
IPDv01p4	model, mediator, driver	Check compatibility of the Fields'
		Connected status.
IPDv01p5	model, mediator, driver	Handle Field data initialization. Time
		stamp the export Fields.

IPDv02 label	Child Group	Meaning	
IPDv02p1	model, mediator, driver	Advertise the import and export Fields.	
IPDv02p1	connector	Construct the CplList Attribute on the	
		connector.	
IPDv02p2	model, mediator, driver	unspecified	
IPDv02p2	connector	Set the Connected Attribute on each im-	
		port and export Field.	
IPDv02p3	model, mediator, driver	Realize the import and export Fields.	
IPDv02p3	connector	Precompute the RouteHandle.	
IPDv02p4	model, mediator, driver	Check compatibility of the Fields'	
		Connected status.	
IPDv02p5	model, mediator, driver	Handle Field data initialization. Times-	
		tamp the export Fields.	
_		Components that use IPDv02 and have	
unsatisfied data dependen	cies, repeating the following two	o steps:	
Run()	connector	Loop over all Connectors that connect to	
		the Component that is currently indexed by	
		the outer loop.	
IPDv02p5	model, mediator, driver	Handle Field data initialization. Time	
		stamp the export Fields.	
Repeat these two steps until all data dependencies have been statisfied, or a dead-lock situation			
is detected.			

IPDv03 label	Child Group	Meaning
IPDv03p1	model, mediator, driver	Advertise the import and export Fields, set-
		ting TransferOfferGeomObject.
IPDv03p1	connector	Construct the CplList Attribute on the
_		connector.
IPDv03p2	model, mediator, driver	unspecified
IPDv03p2	connector	Set the Connected Attribute on
		each import and export Field. Set the
		TransferActionGeomObject
		attribute.
IPDv03p3	model, mediator, driver	Realize the import and export Fields that
-	, ,	have TransferActionGeomObject
		equal to "provide".
IPDv03p3	connector	Transfer the Grid/Mesh objects (only Dist-
-		Grid) for Field pairs that have a provider
		and an acceptor side.
IPDv03p4	model, mediator, driver	Optionally modify the decomposition and
-	, ,	distribution information of the accepted
		Grid/Mesh by replacing the DistGrid.
IPDv03p4	connector	Transfer the full Grid/Mesh objects (with
_		coordinates) for Field pairs that have a
		provider and an acceptor side.
IPDv03p5	model, mediator, driver	Realize all Fields that have
		TransferActionGeomObject
		equal to "accept" on the transferred
		Grid/Mesh objects.
IPDv03p5	connector	Precompute the RouteHandle.
IPDv03p6	model, mediator, driver	Check compatibility of the Fields'
		Connected status.
IPDv03p7	model, mediator, driver	Handle Field data initialization. Times-
		tamp the export Fields.
A loop is entered ove	er all those model, mediator, drive	r Components that use IPDv02 and have
unsatisfied data depe	endencies, repeating the following i	two steps:
Run()	connector	Loop over all Connectors that connect to
		the Component that is currently indexed by
		the outer loop.
IPDv03p7	model, mediator, driver	Handle Field data initialization. Time
		stamp the export Fields.
Repeat these two step	ps until all data dependencies have	e been statisfied, or a dead-lock situation
is detected.	•	•

# 2.4.2 Data-Dependencies during Initialize

For multi-model applications it is not uncommon that during start-up one or more components depends on data from one or more other components. These type of data-dependencies during initialize can become very complex very quickly. Finding the "correct" sequence to initialize all components for a complex dependency graph is not trivial. The

NUOPC Layer deals with this issue by repeatedly looping over all components that indicate that their initialization has data dependencies on other components. The loop is finally exited when either all components have indicated completion of their initialization, or a dead-lock situation is being detected by the NUOPC Layer.

The data-dependency resolution loop is implemented as part of Initialize Phase Definition version 2 (IPDv02) as defined in section 2.4.1. Participating components communicate their current status to the NUOPC Layer via Field and Component metadata. Participants are those components that contain an IPDv02p5 assignment in their InitializePhaseMap Attribute according to section 2.3.1.

Every time a component's IPDv02p5 initialization phase is called it is responsible for setting the InitializeDataComplete and InitializeDataProgress Attributes according to its current status before returning. For convenience, the NUOPC Layer provides a generic implementation of an IPDv02p5 phase initialize method for Models and Mediators (available as ESMF Initialize phase 5). This generic implementation takes care of setting the InitializeDataProgress Attribute automatically. It does so by inspecting the Updated Field Attribute (see section 2.3.3) on all the Fields in the component's exportState. The generic IPDv02p5 implementation must be specialized by attaching a method for specialization point label\_DataInitialize. This specialization method is responsible for checking the Fields in the importState and for initializing any internal data structures and Fields in the exportState. Fields that are fully initialized in the exportState must be indicated by setting their Updated Attribute to "true". Once the component is fully initialized it must further set its InitializeDataComplete Attribute to "true" before returning.

During the execution of the data-dependency resolution loop the NUOPC Layer calls all of the Connectors to a Model/Mediator component before calling the component's IPDv02p5 method. Doing so ensures that all the currently available Fields are passed to the component before it tries to access them during IPDv02p5. Once a component has set its InitializeDataComplete Attribute to "true" it, and the Connectors to it, will no longer be called during the remainder of the resolution loop.

When *all* of the components with an IPDv02p5 initialization phase have set their InitializeDataComplete Attribute to "true", the NUOPC Layer successfully exits the data-dependency resolution loop. The loop is also interrupted before all InitializeDataComplete Attributes are set to "true" if a full cycle completes without any indicated progress. The NUOPC Layer flags this situation as a potential dead-lock and returns with error.

# 2.4.3 Transfer of Grid/Mesh Objects between Components

There are modeling scenarios where the need arises to transfer physical grid information from one component to another. One common situation is that of modeling systems that utilize Mediator components to implement the interactions between Model components. Here often the Mediator carries out computations on a Model's native grid. It is both cumbersome and error prone to re-defined the same physical grid in two different components. The Initialize Phase Definition version 3 (IPDv03), defined in section 2.4.1, supports the transfer of ESMF Grid and Mesh objects between Model and/or Mediator components during initialization.

The NUOPC Layer transfer protocol for GeomObjects (i.e. ESMF Grids and Meshes) is based on two Field attributes: TransferOfferGeomObject and TransferActionGeomObject. The TransferOfferGeomObject attribute is used by the Model and/or Mediator components to indicate for each Field their intent for the associated GeomObject. The predefined values of this attribute are: "will provide", "can provide", and "cannot provide". The TransferOfferGeomObject attribute must be set during IPDv03p1.

The generic Connector uses the intents from both sides and constructs a response according to the table below. The response is provided by the Connector during IPDv03p2 by setting the value of the TransferActionGeomObject attribute to either "provide" or "accept" on each Field. Fields indicating TransferActionGeomObject equal to "provide" must be realized on a Grid or Mesh object in the Model/Mediator initialize method for phase IPDv03p3.

Fields that hold "accept" for the value of the TransferActionGeomObject attribute require two additional ne-

gotiation steps. By IPDv03p4 the Model/Mediator component can access the transferred Grid/Mesh on the Fields that have the "accept" value. However, only the DistGrid, i.e. the decomposition and distribution information of the Grid/Mesh is available at this stage, not the full physical grid information such as the coordinates. At this stage the Model/Mediator may modify this information by replacing the DistGrid object in the Grid/Mesh. The DistGrid that is set on the Grid/Mesh objects when leaving the Model/Mediator phase IPDv03p4 will consequently be used by the generic Connector to fully transfer the Grid/Mesh object. The fully transferred objects are available on the Fields with "accept" during Model/Mediator phase IPDv03p5, where they can be used to realize the respective Field objects. Realizing typically just requires the ESMF\_FieldEmptyComplete() call to be made. At this point all Field objects are fully realized and the initialization process can proceed as usual.

The following table shows how the generic Connector sets the TransferActionGeomObject attribute on the Fields according to the incoming value of TransferOfferGeomObject.

TransferOfferGeomObject TransferOfferGeomObject Outgoing setting by generic Connector				
Incoming side A	Incoming side B			
"will provide"	"will provide"	A:TransferActionGeomObject="provide"		
		B:TransferActionGeomObject="provide"		
"will provide"	"can provide"	A:TransferActionGeomObject="provide"		
		B:TransferActionGeomObject="accept"		
"will provide"	"cannot provide"	A:TransferActionGeomObject="provide"		
		B:TransferActionGeomObject="accept"		
"can provide"	"will provide"	A:TransferActionGeomObject="accept"		
		B:TransferActionGeomObject="provide"		
"can provide"	"can provide"	if (A is import side) then		
		A:TransferActionGeomObject="provide"		
		B:TransferActionGeomObject="accept"		
		if (B is import side) then		
		A:TransferActionGeomObject="accept"		
		B:TransferActionGeomObject="provide"		
"can provide"	"cannot provide"	A:TransferActionGeomObject="provide"		
		B:TransferActionGeomObject="accept"		
"cannot provide"	"will provide"	A:TransferActionGeomObject="accept"		
		B:TransferActionGeomObject="provide"		
"cannot provide"	"can provide"	A:TransferActionGeomObject="accept"		
		B:TransferActionGeomObject="provide"		
"cannot provide"	"cannot provide"	Flagged as error!		

# 3 API

# 3.1 Generic Component: NUOPC\_Driver

### MODULE:

```
module NUOPC Driver
```

#### DESCRIPTION:

Driver component that drives Model, Mediator, and Connector components. The default is to use explicit time stepping. For every Driver time step the same sequence of Model, Mediator, and Connector Run methods are called. The run sequence is fully customizable.

### SUPER:

ESMF GridComp

### **USE DEPENDENCIES:**

use ESMF

### SETSERVICES:

```
subroutine routine_SetServices(gcomp, rc)
type(ESMF_GridComp) :: gcomp
integer, intent(out) :: rc
```

### INITIALIZE:

- phase 0: (REQUIRED, NUOPC PROVIDED)
  - Initialize the InitializePhaseMap Attribute according to the NUOPC Initialize Phase Definition (IPD) version 00 (see section 2.4.1 for a precise definition), with the following mapping:
    - \* IPDv00p1 = phase 1: (REQUIRED, NUOPC PROVIDED)
- phase 1: (NUOPC PROVIDED, suitable for: IPDv00p1)
  - Allocate and initialize the internal state.
  - If the internal clock is not yet set, set the default internal clock to be a copy of the incoming clock, if the incoming clock is valid.
  - Required specialization to set number of Model+Mediator components, modelCount, in the internal state: label\_SetModelCount.
  - Allocate internal storage according to modelCount.
  - *Optional specialization* to provide Model, Mediator, and Connector petList members in the internal state: label\_SetModelPetList.
  - Create Model and Mediator components with their import and export States.
  - Attach standard NUOPC Model Component metadata.
  - Create Connector components.
  - Attach standard NUOPC Connector Component metadata.
  - Initialize the default run sequence.

- Required specialization to set component services: label\_SetModelServices.
  - \* Call into SetServices() for all Model, Mediator, and Connector components.
  - \* Optionally replace the default clock.
  - \* Optionally replace the default run sequence.
- Execute Initialize phase=0 for all Model, Mediator, and Connector components. This is the method where each component is required to initialize its InitializePhaseMap Attribute.
- Optional specialization to analyze and modify components' InitializePhaseMap Attribute before
  the Driver uses it: label\_ModifyInitializePhaseMap.
- Implement the initialize sequence for the child components, compatible with up to IPD version 02, as documented in section 2.4.1.

#### RUN:

- phase 1: (REQUIRED, NUOPC PROVIDED)
  - If the incoming clock is valid, set the internal stop time to one time step interval on the incoming clock.
  - Time stepping loop, from current time to stop time, incrementing by time step.
  - For each time step iteration, the Model and Connector components Run() methods are being called according to the run sequence.

### FINALIZE:

- phase 1: (REQUIRED, NUOPC PROVIDED)
  - *Optional specialization* to finalize driver component: label\_Finalize.
  - Execute all Connector components' Finalize() methods in order.
  - Execute all Model components' Finalize() methods in order.
  - Destroy all Model components and their import and export states.
  - Destroy all Connector components.
  - Deallocate the run sequence.
  - Deallocate the internal state.

### INTERNALSTATE:

```
end type

type Type_PetList
  integer, pointer :: petList(:) !lists that are set here transfer ownership
end type
```

# 3.2 Generic Component: NUOPC\_DriverAtmOcn

### MODULE:

```
module NUOPC_DriverAtmOcn
```

### **DESCRIPTION:**

This is a specialization of the NUOPC\_Driver generic component, driving a coupled Atmosphere-Ocean model. The default is to use explicit time stepping. Each driver time step, the same sequence of Atmosphere, Ocean and connector Run methods are called. The run sequence is fully customizable for cases where explicit time stepping is not suitable.

### SUPER:

NUOPC\_Driver

### **USE DEPENDENCIES:**

use ESMF

### SETSERVICES:

```
subroutine routine_SetServices(gcomp, rc)
  type(ESMF_GridComp) :: gcomp
  integer, intent(out) :: rc
```

#### INITIALIZE:

- phase 0: (REQUIRED, NUOPC PROVIDED)
  - Initialize the InitializePhaseMap Attribute according to the NUOPC Initialize Phase Definition (IPD) version 00 (see section 2.4.1 for a precise definition), with the following mapping:
    - \* IPDv00p1 = phase 1: (REQUIRED, NUOPC PROVIDED)
- phase 1: (NUOPC PROVIDED, suitable for: IPDv00p1)
  - Allocate and initialize the internal state.
  - If the internal clock is not yet set, set the default internal clock to be a copy of the incoming clock, if the incoming clock is valid.
  - Set the number of model components to 2.
  - Allocate internal storage according to modelCount = 2.
  - Optional specialization to provide Model and Connector petList members in the internal state: label\_SetModelPetList.
  - Create atm and ocn Model components with their import and export States.
  - Attach standard NUOPC Model Component metadata.

- Create atm2ocn and ocn2atm Connector components.
- Attach standard NUOPC Connector Component metadata.
- Initialize the default run sequence.
- Required specialization to set component services: label SetModelServices.
  - \* Call into SetServices() for the atm, ocn, atm2ocn, and ocn2atm components.
  - \* Optionally replace the default clock.
  - \* Optionally replace the default run sequence.
- Execute Initialize phase=0 for all Model, and Connector components. This is the method where each component is required to initialize its InitializePhaseMap Attribute.
- Implement the initialize sequence for the child components, compatible with up to IPD version 02, as documented in section 2.4.1.

### RUN:

- phase 1: (REQUIRED, NUOPC PROVIDED)
  - If the incoming clock is valid, set the internal stop time to one time step interval on the incoming clock.
  - Time stepping loop, from current time to stop time, incrementing by time step.
  - For each time step iteration, the Run() methods for atm, ocn, atm2ocn, and ocn2atm are being called according to the run sequence.

### FINALIZE:

- phase 1: (REQUIRED, NUOPC PROVIDED)
  - Optional specialization to finalize driver component: label\_Finalize.
  - Execute Finalize() for atm2ocn and ocn2atm.
  - Execute Finalize() for atm and ocn.
  - Destroy atm and ocn and their import and export States.
  - Destroy atm2ocn and ocn2atm.
  - Deallocate the run sequence.
  - Deallocate the internal state.

#### INTERNALSTATE:

```
label_InternalState
type type_InternalState
 type(type_InternalStateStruct), pointer :: wrap
end type
type type_InternalStateStruct
 integer, pointer
                                   :: atmPetList(:)
 integer, pointer
                                  :: ocnPetList(:)
 type(ESMF_GridComp)
                                   :: atm
 type(ESMF_GridComp)
                                   :: ocn
 type(ESMF State)
                                   :: atmIS, atmES
 type(ESMF_State)
                                  :: ocnIS, ocnES
 integer, pointer
                                 :: atm2ocnPetList(:)
 integer, pointer
                                  :: ocn2atmPetList(:)
                          :: atm2ocn, ocn2atm
 type(ESMF_CplComp)
 type(NUOPC_RunSequence), pointer :: runSeq(:)
end type
```

# 3.3 Generic Component: NUOPC\_DriverAtmOcnMed

### MODULE:

```
module NUOPC_DriverAtmOcnMed
```

### **DESCRIPTION:**

This is a specialization of the NUOPC\_Driver generic component, driving a coupled Atmosphere-Ocean-Mediator model. The default is to use explicit time stepping. Each driver time step, the same sequence of Atmosphere, Ocean, Mediator, and the connector Run methods are called. The run sequence is fully customizable for cases where explicit time stepping is not suitable.

### SUPER:

NUOPC\_Driver

### **USE DEPENDENCIES:**

use ESMF

### SETSERVICES:

```
subroutine routine_SetServices(gcomp, rc)
type(ESMF_GridComp) :: gcomp
integer, intent(out) :: rc
```

### **INITIALIZE:**

- phase 0: (REQUIRED, NUOPC PROVIDED)
  - Initialize the InitializePhaseMap Attribute according to the NUOPC Initialize Phase Definition (IPD) version 00 (see section 2.4.1 for a precise definition), with the following mapping:
    - \* IPDv00p1 = phase 1: (REQUIRED, NUOPC PROVIDED)
- phase 1: (NUOPC PROVIDED, suitable for: IPDv00p1)
  - Allocate and initialize the internal state.
  - If the internal clock is not yet set, set the default internal clock to be a copy of the incoming clock, if the incoming clock is valid.
  - Set the number of model components to 3.
  - Allocate internal storage according to modelCount = 3.
  - Optional specialization to provide Model and Connector petList members in the internal state: label\_SetModelPetList.
  - Create atm, ocn, and med components with their import and export States.
  - Attach standard NUOPC Model Component metadata.
  - Create atm2med, ocn2med, med2atm, and med2ocn Connector components.
  - Attach standard NUOPC Connector Component metadata.
  - Initialize the default run sequence.
  - Required specialization to set component services: label\_SetModelServices.
    - \* Call into SetServices() for the atm, ocn, med, atm2med, ocn2med, med2atm, and med2ocn components.

- \* Optionally replace the default clock.
- \* Optionally replace the default run sequence.
- Execute Initialize phase=0 for all Model, Mediator, and Connector components. This is the method where each component is required to initialize its InitializePhaseMap Attribute.
- Implement the initialize sequence for the child components, compatible with up to IPD version 02, as documented in section 2.4.1.

### RUN:

- phase 1: (REQUIRED, NUOPC PROVIDED)
  - If the incoming clock is valid, set the internal stop time to one time step interval on the incoming clock.
  - Time stepping loop, from current time to stop time, incrementing by time step.
  - For each time step iteration, the Run() methods for atm, ocn, med, atm2med, ocn2med, med2atm, and med2ocn are being called according to the run sequence.

### FINALIZE:

- phase 1: (REQUIRED, NUOPC PROVIDED)
  - *Optional specialization* to finalize driver component: label Finalize.
  - Execute Finalize() for atm2med, ocn2med, med2atm, and med2ocn.
  - Execute Finalize() for atm, ocn, and med.
  - Destroy atm, ocn, and med and their import and export States.
  - Destroy atm2med, ocn2med, med2atm, and med2ocn.
  - Deallocate the run sequence.
  - Deallocate the internal state.

### INTERNALSTATE:

```
label_InternalState
type type InternalState
  type(type_InternalStateStruct), pointer :: wrap
end type
type type_InternalStateStruct
 integer, pointer
                                   :: atmPetList(:)
                                   :: ocnPetList(:)
  integer, pointer
                                   :: medPetList(:)
  integer, pointer
  type(ESMF GridComp)
                                    :: atm
                                    :: ocn
  type(ESMF_GridComp)
  type(ESMF_GridComp)
                                    :: med
  type(ESMF_State)
                                    :: atmIS, atmES
                                   :: ocnIS, ocnES
  type(ESMF_State)
  type(ESMF_State)
                                   :: medIS, medES
  integer, pointer
                                   :: atm2medPetList(:)
  integer, pointer
                                   :: ocn2medPetList(:)
  integer, pointer
                                   :: med2atmPetList(:)
  integer, pointer
                                   :: med2ocnPetList(:)
                      :: atm2med, ocn2med
:: med2atm, med2ocn
  type(ESMF_CplComp)
  type(ESMF_CplComp)
  type(NUOPC_RunSequence), pointer :: runSeq(:)
end type
```

# 3.4 Generic Component: NUOPC\_ModelBase

### MODULE:

```
module NUOPC ModelBase
```

### **DESCRIPTION:**

Model component with a default *explicit* time dependency. Each time the Run method is called the model integrates one timeStep forward on the provided Clock. The Clock must be advanced between Run calls. The component's Run method flags incompatibility if the current time of the incoming Clock does not match the current time of the model.

### SUPER:

```
ESMF_GridComp
```

### **USE DEPENDENCIES:**

use ESMF

### SETSERVICES:

```
subroutine routine_SetServices(gcomp, rc)
type(ESMF_GridComp) :: gcomp
integer, intent(out) :: rc
```

### INITIALIZE:

- phase 0: (REQUIRED, NUOPC PROVIDED)
  - Initialize the InitializePhaseMap Attribute according to the NUOPC Initialize Phase Definition (IPD) version 00 (see section 2.4.1 for a precise definition), with the following mapping:

```
* IPDv00p1 = phase 1: (REQUIRED, IMPLEMENTOR PROVIDED)
```

- \* IPDv00p2 = phase 2: (REQUIRED, IMPLEMENTOR PROVIDED)
- \* IPDv00p3 = phase 3: (REQUIRED, IMPLEMENTOR PROVIDED)
- \* IPDv00p4 = phase 4: (REQUIRED, IMPLEMENTOR PROVIDED)

### RUN:

- phase 1: (REQUIRED, NUOPC PROVIDED)
  - Allocate internal state memory.
  - Assign the driverClock member in the internal state as an alias to the incoming Clock.
  - SPECIALIZATION REQUIRED/PROVIDED: label\_SetRunClock to check and set the internal Clock against the incoming Clock.
    - \* IF (Phase specific specialization present): Execute the phase specific specialization.
    - \* ELSE: Execute the phase independent specialization. PROVIDED: By default check that internal Clock and incoming Clock agree on current time and that the time step of the incoming Clock is a multiple of the internal Clock time step. Under these conditions set the internal stop time to one time step interval of the incoming Clock. Otherwise exit with error, flagging an incompatibility.
  - SPECIALIZATION REQUIRED/PROVIDED: label\_CheckImport to check Fields in the import State.
    - \* IF (Phase specific specialization is present): Execute the phase specific specialization.

- \* ELSE: Execute the phase independent specialization. PROVIDED: By default check that all import Fields are at the current time of the internal Clock.
- Time stepping loop: starting at current time, running to stop time of the internal Clock.
  - \* Timestamp the Fields in the export State according to the current time of the internal Clock.
  - \* SPECIALIZATION REQUIRED: label Advance to execute model or mediation code.
  - \* Advance the current time of the internal Clock according to the time step of the internal Clock.
- SPECIALIZATION OPTIONAL: label\_TimestampExport to timestamp Fields in the export State.
- Deallocate the internal state memory.

### FINALIZE:

- phase 1: (REQUIRED, NUOPC PROVIDED)
  - Optionally overwrite the provided NOOP with model finalization code.

#### INTERNALSTATE:

```
label_InternalState

type type_InternalState
   type(type_InternalStateStruct), pointer :: wrap
end type

type type_InternalStateStruct
   type(ESMF_Clock) :: driverClock
end type
```

# 3.5 Generic Component: NUOPC\_Model

### MODULE:

```
module NUOPC Model
```

### **DESCRIPTION:**

Model component with a default *explicit* time dependency. Each time the Run method is called the model integrates one timeStep forward on the provided Clock. The Clock must be advanced between Run calls. The component's Run method flags incompatibility if the current time of the incoming Clock does not match the current time of the model.

### SUPER:

```
NUOPC_ModelBase
```

### **USE DEPENDENCIES:**

```
use ESMF
```

### SETSERVICES:

```
subroutine routine_SetServices(gcomp, rc)
  type(ESMF_GridComp) :: gcomp
  integer, intent(out) :: rc
```

### INITIALIZE:

- phase 0: (REQUIRED, NUOPC PROVIDED)
  - Initialize the InitializePhaseMap Attribute according to the NUOPC Initialize Phase Definition (IPD) version 00 (see section 2.4.1 for a precise definition), with the following mapping:
    - \* IPDv00p1 = phase 1: (REQUIRED, IMPLEMENTOR PROVIDED)
      - · Advertise Fields in import and export States.
    - \* IPDv00p2 = phase 2: (REQUIRED, IMPLEMENTOR PROVIDED)
      - · Realize the advertised Fields in import and export States.
    - \* IPDv00p3 = phase 3: (REQUIRED, NUOPC PROVIDED)
      - · Check compatibility of the Fields' Connected status.
    - \* IPDv00p4 = phase 4: (REQUIRED, NUOPC PROVIDED)
      - · Handle Field data initialization. Time stamp the export Fields.
- phase 3: (NUOPC PROVIDED, suitable for: IPDv00p3, IPDv01p4, IPDv02p4)
  - If the model internal clock is found to be not set, then set the model internal clock as a copy of the incoming clock.
  - Optional specialization to set the internal clock and/or alarms: label\_SetClock.
  - Check compatibility, ensuring all advertised import Fields are connected.
- phase 4: (NUOPC PROVIDED, suitable for: IPDv00p4, IPDv01p5)
  - Optional specialization to initialize export Fields: label DataInitialize
  - Time stamp Fields in export State for compatibility checking.
- phase 5: (NUOPC PROVIDED, suitable for: IPDv02p5)
  - Optional specialization to initialize export Fields: label\_DataInitialize
  - Timestamp Fields in export State for compatibility checking.
  - Set Component metadata used to resolve initialize data dependencies.

### RUN:

- phase 1: (REQUIRED, NUOPC PROVIDED)
  - Allocate internal state memory.
  - Assign the driverClock member in the internal state as an alias to the incoming Clock.
  - SPECIALIZATION REQUIRED/PROVIDED: label\_SetRunClock to check and set the internal Clock against the incoming Clock.
    - \* IF (Phase specific specialization present): Execute the phase specific specialization.
    - \* ELSE: Execute the phase independent specialization. PROVIDED: By default check that internal Clock and incoming Clock agree on current time and that the time step of the incoming Clock is a multiple of the internal Clock time step. Under these conditions set the internal stop time to one time step interval of the incoming Clock. Otherwise exit with error, flagging an incompatibility.
  - SPECIALIZATION REQUIRED/PROVIDED: label\_CheckImport to check Fields in the import State.
    - \* IF (Phase specific specialization is present): Execute the phase specific specialization.
    - \* ELSE: Execute the phase independent specialization. PROVIDED: By default check that all import Fields are at the current time of the internal Clock.
  - Time stepping loop: starting at current time, running to stop time of the internal Clock.
    - \* Timestamp the Fields in the export State according to the current time of the internal Clock.

- \* SPECIALIZATION REQUIRED: label\_Advance to execute model code.
- \* Advance the current time of the internal Clock according to the time step of the internal Clock.
- Timestamp all Fields in the export State according to the current time of the internal Clock, which now is identical to the stop time of the internal Clock.
- Deallocate the internal state memory.

### FINALIZE:

- phase 1: (REQUIRED, NUOPC PROVIDED)
  - Optionally overwrite the provided NOOP with model finalization code.

### INTERNALSTATE:

```
label_InternalState

type type_InternalState
   type(type_InternalStateStruct), pointer :: wrap
end type

type type_InternalStateStruct
   type(ESMF_Clock) :: driverClock
end type
```

# 3.6 Generic Component: NUOPC\_Mediator

### MODULE:

```
module NUOPC_Mediator
```

### **DESCRIPTION:**

Mediator component with a default *explicit* time dependency. Each time the Run method is called, the time stamp on the imported Fields must match the current time (on both the incoming and internal Clock). Before returning, the Mediator time stamps the exported Fields with the same current time, before advancing the internal Clock one timeStep forward.

### SUPER:

```
NUOPC_ModelBase
```

### **USE DEPENDENCIES:**

use ESMF

### SETSERVICES:

```
subroutine routine_SetServices(gcomp, rc)
type(ESMF_GridComp) :: gcomp
integer, intent(out) :: rc
```

### **INITIALIZE:**

- phase 0: (REQUIRED, NUOPC PROVIDED)
  - Initialize the InitializePhaseMap Attribute according to the NUOPC Initialize Phase Definition (IPD) version 00 (see section 2.4.1 for a precise definition), with the following mapping:
    - \* IPDv00p1 = phase 1: (REQUIRED, IMPLEMENTOR PROVIDED)
      - · Advertise Fields in import and export States.
    - \* IPDv00p2 = phase 2: (REQUIRED, IMPLEMENTOR PROVIDED)
      - · Realize the advertised Fields in import and export States.
    - \* IPDv00p3 = phase 3: (REQUIRED, NUOPC PROVIDED)
      - · Check compatibility of the Fields' Connected status.
    - \* IPDv00p4 = phase 4: (REQUIRED, NUOPC PROVIDED)
      - · Handle Field data initialization. Time stamp the export Fields.
- phase 3: (NUOPC PROVIDED, suitable for: IPDv00p3, IPDv01p4, IPDv02p4)
  - Set the Mediator internal clock as a copy of the incoming clock.
  - Check compatibility, ensuring all advertised import Fields are connected.
- phase 4: (NUOPC PROVIDED, suitable for: IPDv00p4, IPDv01p5)
  - Optional specialization to initialize export Fields: label\_DataInitialize
  - Time stamp Fields in import and export States for compatibility checking.
- phase 5: (NUOPC PROVIDED, suitable for: IPDv02p5)
  - Optional specialization to initialize export Fields: label\_DataInitialize
  - Time stamp Fields in export State for compatibility checking.
  - Set Component metadata used to resolve initialize data dependencies.

### RUN:

- phase 1: (REQUIRED, NUOPC PROVIDED)
  - Allocate internal state memory.
  - Assign the driverClock member in the internal state as an alias to the incoming Clock.
  - SPECIALIZATION REQUIRED/PROVIDED: label\_SetRunClock to check and set the internal Clock against the incoming Clock.
    - \* IF (Phase specific specialization present): Execute the phase specific specialization.
    - \* ELSE: Execute the phase independent specialization. PROVIDED: By default check that internal Clock and incoming Clock agree on current time and that the time step of the incoming Clock is a multiple of the internal Clock time step. Under these conditions set the internal stop time to one time step interval of the incoming Clock. Otherwise exit with error, flagging an incompatibility.
  - SPECIALIZATION REQUIRED/PROVIDED: label\_CheckImport to check Fields in the import State.
    - \* IF (Phase specific specialization is present): Execute the phase specific specialization.
    - \* ELSE: Execute the phase independent specialization. PROVIDED: By default check that all import Fields are at the current time of the internal Clock.
  - Time stepping loop: starting at current time, running to stop time of the internal Clock.
    - \* Timestamp the Fields in the export State according to the current time of the internal Clock.
    - \* SPECIALIZATION REQUIRED: label\_Advance to execute mediation code.
    - \* Advance the current time of the internal Clock according to the time step of the internal Clock.
  - SPECIALIZATION OPTIONAL: label TimestampExport to timestamp Fields in the export State.

- Deallocate the internal state memory.

### FINALIZE:

- phase 1: (REQUIRED, NUOPC PROVIDED)
  - Optionally overwrite the provided NOOP with Mediator finalization code.

### INTERNALSTATE:

```
label_InternalState

type type_InternalState
   type(type_InternalStateStruct), pointer :: wrap
end type

type type_InternalStateStruct
   type(ESMF_Clock) :: driverClock
end type
```

# 3.7 Generic Component: NUOPC\_Connector

### MODULE:

```
module NUOPC_Connector
```

### **DESCRIPTION:**

Connector component that uses a default bilinear regrid method during Run to transfer data from the connected import Fields to the connected export Fields.

### SUPER:

```
ESMF_CplComp
```

### **USE DEPENDENCIES:**

use ESMF

### SETSERVICES:

```
subroutine routine_SetServices(cplcomp, rc)
  type(ESMF_CplComp) :: cplcomp
  integer, intent(out) :: rc
```

# INITIALIZE:

- phase 0: (REQUIRED, NUOPC PROVIDED)
  - Initialize the InitializePhaseMap Attribute according to the NUOPC Initialize Phase Definition (IPD) version 01 (see section 2.4.1 for a precise definition), with the following mapping:
    - \* IPDv01p1 = phase 1: (REQUIRED, NUOPC PROVIDED)

- \* IPDv01p2 = phase 2: (REQUIRED, NUOPC PROVIDED)
- \* IPDv01p3 = phase 3: (REQUIRED, NUOPC PROVIDED)
- phase 1: (NUOPC PROVIDED, suitable for: IPDv01p1, IPDv02p1)
  - Construct a list of matching Field pairs between import and export State based on the StandardName Field metadata.
  - Store this list of StandardName entries in the CplList attribute of the Connector Component metadata.
- phase 2: (NUOPC PROVIDED, suitable for: IPDv01p2, IPDv02p2)
  - Allocate and initialize the internal state.
  - Use the CplList attribute to construct srcFields and dstFields FieldBundles in the internal state that hold matched Field pairs.
  - Set the Connected attribute to true in the Field metadata for each Field that is added to the srcFields and dstFields FieldBundles.
- phase 3: (NUOPC PROVIDED, suitable for: IPDv01p3, IPDv02p3)
  - Use the CplList attribute to construct srcFields and dstFields FieldBundles in the internal state that hold matched Field pairs.
  - Set the Connected attribute to true in the Field metadata for each Field that is added to the srcFields and dstFields FieldBundles.
  - Optional specialization to precompute a Connector operation: label\_ComputeRouteHandle. Simple custom implementations store the precomputed communication RouteHandle in the rh member of the internal state. More complex implementations use the state member in the internal state to store auxiliary Fields, FieldBundles, and RouteHandles.
  - By default (if label\_ComputeRouteHandle was not provided) precompute the Connector Route-Handle as a bilinear Regrid operation between srcFields and dstFields, with unmappedaction set to ESMF\_UNMAPPEDACTION\_IGNORE. The resulting RouteHandle is stored in the rh member of the internal state.

### RUN:

- phase 1: (REQUIRED, NUOPC PROVIDED)
  - Optional specialization to execute a Connector operation: label\_ExecuteRouteHandle. Simple custom implementations access the srcFields, dstFields, and rh members of the internal state to implement the required data transfers. More complex implementations access the state member in the internal state, which holds the auxiliary Fields, FieldBundles, and RouteHandles that potentially were added during the optional label\_ComputeRouteHandle method during initialize.
  - By default (if label\_ExecuteRouteHandle was not provided) execute the precomputed Connector RouteHandle between srcFields and dstFields.
  - $\ Update \ the \ time \ stamp \ on \ the \ Fields \ in \ \verb|dstFields| to \ match \ the \ time \ stamp \ on \ the \ Fields \ in \ \verb|srcFields|.$

### FINALIZE:

- phase 1: (REQUIRED, NUOPC PROVIDED)
  - Optional specialization to release a Connector operation: label\_ReleaseRouteHandle.
  - By default (if label\_ReleaseRouteHandle was not provided) release the precomputed Connector RouteHandle.
  - Destroy the internal state members.

- Deallocate the internal state.

# INTERNALSTATE:

```
label_InternalState

type type_InternalState
   type(type_InternalStateStruct), pointer :: wrap
end type

type type_InternalStateStruct
   type(ESMF_FieldBundle) :: srcFields
   type(ESMF_FieldBundle) :: dstFields
   type(ESMF_RouteHandle) :: rh
   type(ESMF_State) :: state
end type
```

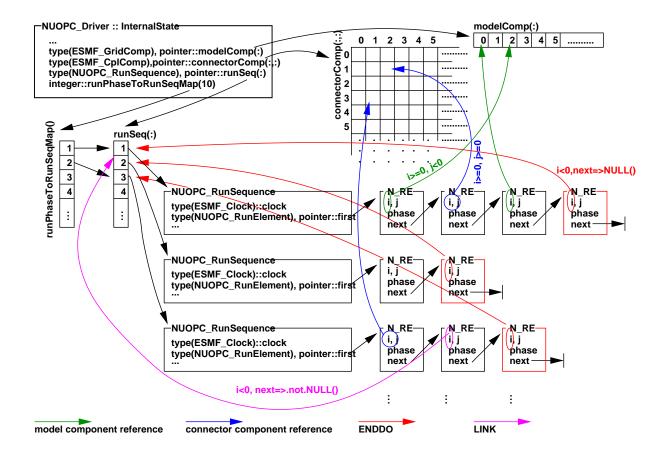


Figure 2: NUOPC\_RunSequence class as it relates to the surrounding data structures.

# 3.8 Utility Class: NUOPC\_RunSequence

The NUOPC\_RunSequence class provides a unified data structure that allows simple as well as complex time loops to be encoded and executed. There are entry points that allow different run phases to be mapped against distinctly different time loops.

Figure 2 depicts the data structures surrounding the NUOPC\_RunSequence, starting with the InternalState of the NUOPC\_Driver generic component.

### 3.8.1 NUOPC RunElementAdd - Add a RunElement to the end of a RunSequence

### **INTERFACE:**

```
subroutine NUOPC_RunElementAdd(runSeq, i, j, phase, rc)
```

### **ARGUMENTS:**

Add a new RunElement at the end of an existing RunSequence. The RunElement is set to the values provided for i, phase.

### 3.8.2 NUOPC\_RunElementAddComp - Add a RunElement for a Component to the end of a RunSequence

# **INTERFACE**:

```
subroutine NUOPC_RunElementAddComp(runSeq, i, j, phase, rc)
```

### **ARGUMENTS**:

# **DESCRIPTION:**

Add a new RunElement for a Component to the end of an existing RunSequence. The RunElement is set to the values provided for i, j, phase, or as determined by their defaults.

The arguments are:

runSeq An existing NUOPC\_RunSequence object.

- i Element i index. This index must be > 0. Corresponds to the Model or Mediator component index if j < 0. Corresponds to src side of a Connector if j >= 0.
- [j] Element j index. Defaults to -1.

[phase] Element phase index. Defaults to 1.

rc Return code; equals ESMF\_SUCCESS if there are no errors.

### 3.8.3 NUOPC\_RunElementAddLink - Add a RunElement for a Link to the end of a RunSequence

### **INTERFACE:**

```
subroutine NUOPC_RunElementAddLink(runSeq, slot, rc)
```

### **ARGUMENTS:**

Add a new RunElement for a link to the end of an existing RunSequence.

The arguments are:

runSeq An existing NUOPC\_RunSequence object.

**slot** Run sequence slot to be linked to. Must be > 0.

rc Return code; equals ESMF\_SUCCESS if there are no errors.

### 3.8.4 NUOPC\_RunElementPrint - Print info about a RunElement object

### **INTERFACE:**

```
subroutine NUOPC_RunElementPrint(runElement, rc)
```

# ARGUMENTS:

```
type(NUOPC_RunElement), intent(in) :: runElement
integer, optional, intent(out) :: rc
```

# **DESCRIPTION:**

Write information about runElement into the default log file.

# 3.8.5 NUOPC\_RunSequenceAdd - Add more RunSequences to a RunSequence vector

### INTERFACE:

```
subroutine NUOPC_RunSequenceAdd(runSeq, addCount, rc)
```

### **ARGUMENTS:**

The incoming RunSequence vector runSeq is extended by addCount more RunSequence objects. The existing RunSequence objects are copied to the front of the new vector before the old vector is deallocated.

# 3.8.6 NUOPC\_RunSequenceDeallocate - Deallocate an entire RunSequence vector

# **INTERFACE:**

```
! Private name; call using NUOPC_RunSequenceDeallocate()
subroutine NUOPC_RunSequenceArrayDeall(runSeq, rc)
```

### **ARGUMENTS**:

```
type(NUOPC_RunSequence), pointer :: runSeq(:)
integer, optional, intent(out) :: rc
```

### **DESCRIPTION:**

Deallocate all of the RunElements in all of the RunSequence defined in the runSeq vector.

# 3.8.7 NUOPC\_RunSequenceDeallocate - Deallocate a single RunSequence object

# **INTERFACE:**

```
! Private name; call using NUOPC_RunSequenceDeallocate()
subroutine NUOPC_RunSequenceSingleDeall(runSeq, rc)
```

### **ARGUMENTS:**

```
type(NUOPC_RunSequence), intent(inout) :: runSeq
integer, optional, intent(out) :: rc
```

### **DESCRIPTION:**

Deallocate all of the RunElements in the RunSequence defined by runSeq.

# 3.8.8 NUOPC\_RunSequenceIterate - Iterate through a RunSequence

### **INTERFACE:**

```
function NUOPC_RunSequenceIterate(runSeq, runSeqIndex, runElement, rc)

RETURN VALUE:

logical :: NUOPC_RunSequenceIterate

ARGUMENTS:

type(NUOPC_RunSequence), pointer :: runSeq(:)
integer, intent(in) :: runSeqIndex
type(NUOPC_RunElement), pointer :: runElement
```

integer, optional,

Iterate through the RunSequence that is in position runSeqIndex in the runSeq vector. If runElement comes in unassociated, the iteration starts from the beginning. Otherwise this call takes one forward step relative to the incoming runElement, returning the next RunElement in runElement. In either case, the logical function return value is .true. if the end of iteration has not been reached by the forward step, and .false. if the end of iteration has been reached. The returned runElement is only valid for a function return value of .true..

intent(out) :: rc

# 3.8.9 NUOPC\_RunSequencePrint - Print info about a single RunSequence object

### INTERFACE:

```
! Private name; call using NUOPC_RunSequencePrint()
subroutine NUOPC_RunSequenceSinglePrint(runSeq, rc)
```

### **ARGUMENTS:**

```
type(NUOPC_RunSequence), intent(in) :: runSeq
integer, optional, intent(out) :: rc
```

# DESCRIPTION:

Write information about runSeq into the default log file.

### 3.8.10 NUOPC\_RunSequencePrint - Print info about a RunSequence vector

# **INTERFACE:**

```
! Private name; call using NUOPC_RunSequencePrint()
subroutine NUOPC_RunSequenceArrayPrint(runSeq, rc)
```

# **ARGUMENTS:**

```
type(NUOPC_RunSequence), pointer :: runSeq(:)
integer, optional, intent(out) :: rc
```

### **DESCRIPTION:**

Write information about the whole runSeq vector into the default log file.

# 3.8.11 NUOPC\_RunSequenceSet - Set values inside a RunSequence object

### **INTERFACE:**

```
subroutine NUOPC_RunSequenceSet(runSeq, clock, rc)
```

### **ARGUMENTS:**

```
type(NUOPC_RunSequence), intent(inout) :: runSeq
type(ESMF_Clock), intent(in) :: clock
integer, optional, intent(out) :: rc
```

# **DESCRIPTION:**

Set the Clock member in runSeq.

# 3.9 Utility Routines

### 3.9.1 NUOPC\_ClockCheckSetClock - Check a Clock for compatibility

#### INTERFACE:

```
subroutine NUOPC_ClockCheckSetClock(setClock, checkClock, &
   setStartTimeToCurrent, rc)
```

### **ARGUMENTS**:

#### DESCRIPTION:

Compares setClock to checkClock to make sure they match in their current Time. Further ensures that checkClock's timeStep is a multiple of setClock's timeStep. If both these condition are satisfied then the stop-Time of the setClock is set one checkClock's timeStep ahead of the current Time, taking into account the direction of the Clock.

By default the startTime of the setClock is not modified. However, if setStartTimeToCurrent == .true. the startTime of setClock is set to the currentTime of checkClock.

# 3.9.2 NUOPC\_ClockInitialize - Initialize a new Clock from Clock and stabilityTimeStep

#### INTERFACE:

```
function NUOPC ClockInitialize(externalClock, stabilityTimeStep, rc)
```

# RETURN VALUE:

```
type(ESMF_Clock) :: NUOPC_ClockInitialize
```

### **ARGUMENTS:**

### **DESCRIPTION:**

Returns a new Clock instance that is a copy of the incoming Clock, but potentially with a smaller timestep. The timestep is chosen so that the timestep of the incoming Clock (externalClock) is a multiple of the new Clock's timestep, and at the same time the new timestep is <= the stabilityTimeStep.

### 3.9.3 NUOPC\_ClockPrintCurrTime - Formatted print ot current time

### INTERFACE:

```
subroutine NUOPC_ClockPrintCurrTime(clock, string, unit, rc)
```

### **ARGUMENTS:**

### **DESCRIPTION:**

Writes the formatted current time of clock to unit. Prepends string if provided. If unit is present it must be an internal unit, i.e. a string variable. If unit is not present then the output is written to the default external unit (typically that would be stdout).

# 3.9.4 NUOPC\_ClockPrintStartTime - Formatted print ot start time

### INTERFACE:

```
subroutine NUOPC_ClockPrintStartTime(clock, string, unit, rc)
```

# ARGUMENTS:

### **DESCRIPTION:**

Writes the formatted start time of clock to unit. Prepends string if provided. If unit is present it must be an internal unit, i.e. a string variable. If unit is not present then the output is written to the default external unit (typically that would be stdout).

### 3.9.5 NUOPC ClockPrintStopTime - Formatted print ot stop time

### **INTERFACE:**

```
subroutine NUOPC_ClockPrintStopTime(clock, string, unit, rc)
```

### **ARGUMENTS:**

## **DESCRIPTION:**

Writes the formatted stop time of clock to unit. Prepends string if provided. If unit is present it must be an internal unit, i.e. a string variable. If unit is not present then the output is written to the default external unit (typically that would be stdout).

### 3.9.6 NUOPC\_CplCompAreServicesSet - Check if SetServices was called

### **INTERFACE:**

```
function NUOPC_CplCompAreServicesSet(comp, rc)
```

## RETURN VALUE:

```
logical :: NUOPC_CplCompAreServicesSet
```

### **ARGUMENTS:**

### **DESCRIPTION:**

Returns .true. if SetServices has been called for comp. Otherwise returns .false..

## 3.9.7 NUOPC\_CplCompAttributeAdd - Add the NUOPC CplComp Attributes

### **INTERFACE:**

```
subroutine NUOPC_CplCompAttributeAdd(comp, rc)
```

## ARGUMENTS:

```
type(ESMF_CplComp), intent(inout) :: comp
integer, intent(out), optional :: rc
```

#### **DESCRIPTION:**

Adds standard NUOPC Attributes to a Coupler Component. Checks the provided importState and exportState arguments for matching Fields and adds the list as "CplList" Attribute.

This adds the standard NUOPC Coupler Attribute package: convention="NUOPC", purpose="General" to the Field. The NUOPC Coupler Attribute package extends the ESG Component Attribute package: convention="ESG", purpose="General".

The arguments are:

**comp** The ESMF\_CplComp object to which the Attributes are added.

[rc] Return code; equals ESMF SUCCESS if there are no errors.

## 3.9.8 NUOPC\_CplCompAttributeGet - Get a NUOPC CplComp Attribute

### INTERFACE:

```
subroutine NUOPC CplCompAttributeGet(comp, cplList, cplListSize, rc)
```

### **ARGUMENTS**:

#### DESCRIPTION:

Accesses the "CplList" Attribute inside of comp using the convention NUOPC and purpose General. Returns with error if the Attribute is not present or not set.

## 3.9.9 NUOPC\_CplCompAttributeSet - Set the NUOPC CplComp Attributes

#### INTERFACE:

```
subroutine NUOPC_CplCompAttributeSet(comp, importState, exportState, rc)
```

#### **ARGUMENTS**:

### **DESCRIPTION:**

Checks the provided importState and exportState arguments for matching Fields and sets the coupling list as "CplList" Attribute in comp.

The arguments are:

**comp** The ESMF\_CplComp object to which the Attributes are set.

importState Import State.

exportState Export State.

[rc] Return code; equals ESMF\_SUCCESS if there are no errors.

## 3.9.10 NUOPC\_FieldAttributeAdd - Add the NUOPC Field Attributes

#### INTERFACE:

```
subroutine NUOPC_FieldAttributeAdd(field, StandardName, Units, LongName, &
    ShortName, Connected, rc)
```

#### **ARGUMENTS**:

## **DESCRIPTION:**

Adds standard NUOPC Attributes to a Field object. Checks the provided arguments against the NUOPC Field Dictionary. Omitted optional information is filled in using defaults out of the NUOPC Field Dictionary.

This adds the standard NUOPC Field Attribute package: convention="NUOPC", purpose="General" to the Field. The NUOPC Field Attribute package extends the ESG Field Attribute package: convention="ESG", purpose="General".

The arguments are:

**field** The ESMF\_Field object to which the Attributes are added.

StandardName The StandardName of the Field. Must be a StandardName found in the NUOPC Field Dictionary.

[Units] The Units of the Field. Must be convertible to the canonical units specified in the NUOPC Field Dictionary for the specified StandardName. If omitted, the default is to use the canonical units associated with the StandardName in the NUOPC Field Dictionary.

[LongName] The LongName of the Field. NUOPC does not restrict the value of this variable. If omitted, the default is to use the LongName associated with the StandardName in the NUOPC Field Dictionary.

[ShortName] The ShortName of the Field. NUOPC does not restrict the value of this variable. If omitted, the default is to use the ShortName associated with the StandardName in the NUOPC Field Dictionary.

[Connected] The connection status of the Field. Must be one of the NUOPC supported values: false or true. If omitted, the default is a connected status of false.

[rc] Return code; equals ESMF\_SUCCESS if there are no errors.

#### 3.9.11 NUOPC FieldAttributeGet - Get a NUOPC Field Attribute

## **INTERFACE:**

```
subroutine NUOPC_FieldAttributeGet(field, name, value, rc)
```

#### **ARGUMENTS:**

#### **DESCRIPTION:**

Accesses the Attribute name inside of field using the convention NUOPC and purpose General. Returns with error if the Attribute is not present or not set.

## 3.9.12 NUOPC\_FieldAttributeSet - Set a NUOPC Field Attribute

#### **INTERFACE:**

```
subroutine NUOPC_FieldAttributeSet(field, name, value, rc)
```

### **ARGUMENTS**:

#### DESCRIPTION:

Set the Attribute name inside of field using the convention NUOPC and purpose General.

### 3.9.13 NUOPC\_FieldBundleUpdateTime - Update the time stamp on all Fields in a FieldBundle

#### INTERFACE:

```
subroutine NUOPC_FieldBundleUpdateTime(srcFields, dstFields, rc)
```

#### **ARGUMENTS:**

## **DESCRIPTION:**

Updates the time stamp on all Fields in the dstFields FieldBundle to be the same as in the dstFields FieldBundle.

### 3.9.14 NUOPC\_FieldDictionaryAddEntry - Add an entry to the NUOPC Field dictionary

### **INTERFACE:**

```
subroutine NUOPC_FieldDictionaryAddEntry(standardName, canonicalUnits, &
    defaultLongName, defaultShortName, rc)
```

### **ARGUMENTS:**

```
character(*),
    character(*),
    character(*),
    character(*),
    character(*),
    character(*),
    character(*),
    intent(in),
    optional :: defaultLongName
    character(*),
    intent(in),
    optional :: rc
```

### **DESCRIPTION:**

Adds an entry to the NUOPC Field dictionary. If necessary the dictionary is first set up.

### 3.9.15 NUOPC\_FieldDictionaryGetEntry - Get information about a NUOPC Field dictionary entry

## **INTERFACE:**

```
subroutine NUOPC_FieldDictionaryGetEntry(standardName, canonicalUnits, &
   defaultLongName, defaultShortName, rc)
```

### **ARGUMENTS:**

### **DESCRIPTION:**

Returns the canonical units, the default LongName and the default ShortName that the NUOPC Field dictionary associates with a StandardName.

## 3.9.16 NUOPC\_FieldDictionaryHasEntry - Check whether the NUOPC Field dictionary has a specific entry

## **INTERFACE:**

```
function NUOPC_FieldDictionaryHasEntry(standardName, rc)
```

#### RETURN VALUE:

```
logical :: NUOPC FieldDictionaryHasEntry
```

#### **ARGUMENTS:**

#### DESCRIPTION:

Returns .true. if the NUOPC Field dictionary has an entry with the specified StandardName, .false. otherwise.

### 3.9.17 NUOPC\_FieldDictionarySetup - Setup the NUOPC Field dictionary

## **INTERFACE:**

```
subroutine NUOPC_FieldDictionarySetup(rc)
```

### **ARGUMENTS:**

```
integer, intent(out), optional :: rc
```

### **DESCRIPTION:**

Setup the NUOPC Field dictionary.

## 3.9.18 NUOPC\_FieldIsAtTime - Check if the Field is at the given Time

### **INTERFACE:**

```
function NUOPC_FieldIsAtTime(field, time, rc)
```

### RETURN VALUE:

```
logical :: NUOPC FieldIsAtTime
```

#### **ARGUMENTS:**

#### **DESCRIPTION:**

Returns .true. if the Field has a timestamp that matches time. Otherwise returns .false..

### 3.9.19 NUOPC\_FillCplList - Fill the cplList according to matching Fields

#### **INTERFACE:**

```
subroutine NUOPC_FillCplList(importState, exportState, cplList, rc)
```

#### **ARGUMENTS:**

```
type(ESMF_State), intent(in) :: importState
type(ESMF_State), intent(in) :: exportState
character(ESMF_MAXSTR), pointer :: cplList(:)
integer, intent(out), optional :: rc
```

## **DESCRIPTION:**

Constructs a list of matching Standard Names of Fields in the importState and exportState. Returns this list in cplList.

The pointer argument cplList must enter this method unassociated. On return, the deallocation of the potentially associated pointer becomes the caller's responsibility.

## 3.9.20 NUOPC\_GridCompAreServicesSet - Check if SetServices was called

#### **INTERFACE:**

```
function NUOPC_GridCompAreServicesSet(comp, rc)
```

#### RETURN VALUE:

```
logical :: NUOPC_GridCompAreServicesSet
```

#### **ARGUMENTS:**

## **DESCRIPTION:**

Returns .true. if SetServices has been called for comp. Otherwise returns .false..

### 3.9.21 NUOPC\_GridCompAttributeAdd - Add the NUOPC GridComp Attributes

#### INTERFACE:

```
subroutine NUOPC_GridCompAttributeAdd(comp, rc)
```

### ARGUMENTS:

## DESCRIPTION:

Adds standard NUOPC Attributes to a Gridded Component.

This adds the standard NUOPC GridComp Attribute package: convention="NUOPC", purpose="General" to the Gridded Component. The NUOPC GridComp Attribute package extends the CIM Component Attribute package: convention="CIM 1.5", purpose="ModelComp".

## 3.9.22 NUOPC\_GridCompCheckSetClock - Check Clock compatibility and set stopTime

### **INTERFACE:**

```
subroutine NUOPC_GridCompCheckSetClock(comp, externalClock, rc)
```

#### **ARGUMENTS:**

#### **DESCRIPTION:**

Compares externalClock to the Component internal Clock to make sure they match in their current Time. Further ensures that the external Clock's timeStep is a multiple of the internal Clock's timeStep. If both these condition are satisfied then the stopTime of the internal Clock is set to be reachable in one timeStep of the external Clock, taking into account the direction of the Clock.

## 3.9.23 NUOPC\_GridCompSetClock - Initialize and set the internal Clock of a GridComp

#### INTERFACE:

#### **ARGUMENTS:**

### **DESCRIPTION:**

Sets the Component internal Clock as a copy of externalClock, but with a timeStep that is less than or equal to the stabilityTimeStep. At the same time ensures that the timeStep of the external Clock is a multiple of the internal Clock's timeStep. If the stabilityTimeStep argument is not provided then the internal Clock will simply be set as a copy of the externalClock.

## 3.9.24 NUOPC\_GridCompSetServices - Try to find and call SetServices in a shared object

### INTERFACE:

```
recursive subroutine NUOPC GridCompSetServices(comp, sharedObj, userRc, rc)
```

#### **ARGUMENTS**:

### DESCRIPTION:

Try to find a routine called "SetServices" in the sharedObj and execute it to set the component's services. An attempt is made to find a routine that is close in name to "SetServices", allowing compiler name mangeling, i.e. upper and lower case, as well as trailing underscores.

## 3.9.25 NUOPC\_GridCreateSimpleXY - Create a simple XY cartesian Grid

### **INTERFACE:**

```
function NUOPC_GridCreateSimpleXY(x_min, y_min, x_max, y_max, &
    i_count, j_count, rc)

RETURN VALUE:
```

```
type(ESMF_Grid):: NUOPC_GridCreateSimpleXY
```

#### **ARGUMENTS:**

### **DESCRIPTION:**

Creates and returns a very simple XY cartesian Grid.

## 3.9.26 NUOPC\_IsCreated - Check whether an ESMF object has been created

### INTERFACE:

```
! call using generic interface: NUOPC_IsCreated function NUOPC_ClockIsCreated(clock, rc)
```

#### RETURN VALUE:

```
logical :: NUOPC_ClockIsCreated
```

#### **ARGUMENTS**:

```
type(ESMF_Clock) :: clock
integer, intent(out), optional :: rc
```

### **DESCRIPTION:**

Returns .true. if the ESMF object (here clock) is in the created state, .false. otherwise.

### 3.9.27 NUOPC\_StateAdvertiseField - Advertise a Field in a State

#### INTERFACE:

```
subroutine NUOPC_StateAdvertiseField(state, StandardName, Units, &
   LongName, ShortName, name, TransferOfferGeomObject, rc)
```

#### **ARGUMENTS:**

### **DESCRIPTION:**

Advertises a Field in a State. This call checks the provided information against the NUOPC Field Dictionary. Omitted optional information is filled in using defaults out of the NUOPC Field Dictionary.

The arguments are:

state The ESMF\_State object through which the Field is advertised.

**StandardName** The StandardName of the advertised Field. Must be a StandardName found in the NUOPC Field Dictionary.

[Units] The Units of the advertised Field. Must be convertible to the canonical units specified in the NUOPC Field Dictionary for the specified StandardName. If omitted, the default is to use the canonical units associated with the StandardName in the NUOPC Field Dictionary.

[LongName] The LongName of the advertised Field. NUOPC does not restrict the value of this variable. If omitted, the default is to use the LongName associated with the StandardName in the NUOPC Field Dictionary.

[ShortName] The ShortName of the advertised Field. NUOPC does not restrict the value of this variable. If omitted, the default is to use the ShortName associated with the StandardName in the NUOPC Field Dictionary.

[name] The actual name of the advertised Field by which it is accessed in the State object. NUOPC does not restrict the value of this variable. If omitted, the default is to use the value of the ShortName.

[TransferOfferGeomObject] The transfer offer for the geom object (Grid, Mesh, LocStream, XGrid) associated with the advertised Field. NUOPC controls the vocabulary of this attribute: "will provide", "can provide", "cannot provide". If omitted, the default is "will provide".

[rc] Return code; equals ESMF\_SUCCESS if there are no errors.

### 3.9.28 NUOPC\_StateAdvertiseFields - Advertise Fields in a State

#### **INTERFACE:**

```
subroutine NUOPC_StateAdvertiseFields(state, StandardNames, rc)

ARGUMENTS:

type(ESMF_State), intent(inout) :: state
  character(*), intent(in) :: StandardNames(:)
  integer, intent(out), optional :: rc
```

## **DESCRIPTION:**

Advertises Fields in a State. Defaults are set according to the NUOPC Field Dictionary.

The arguments are:

state The ESMF\_State object through which the Field is advertised.

**StandardNames** A list of StandardNames of the advertised Fields. Must be StandardNames found in the NUOPC Field Dictionary.

[rc] Return code; equals ESMF\_SUCCESS if there are no errors.

## 3.9.29 NUOPC\_StateBuildStdList - Build lists of Field information from a State

### INTERFACE:

```
recursive subroutine NUOPC_StateBuildStdList(state, stdAttrNameList, &
    stdItemNameList, stdConnectedList, stdFieldList, rc)
```

#### **ARGUMENTS**:

```
type(ESMF_State), intent(in) :: state
character(ESMF_MAXSTR), pointer :: stdAttrNameList(:)
character(ESMF_MAXSTR), pointer, optional :: stdConnectedList(:)
type(ESMF_Field), pointer, optional :: stdFieldList(:)
integer, intent(out), optional :: rc
```

#### **DESCRIPTION:**

Constructs lists containing the StandardName, Field name, and connected status of the Fields in the state. Returns this information in the list arguments. Recursively parses through nested States.

All pointer arguments present must enter this method unassociated. On return, the deallocation of an associated pointer becomes the user responsibility.

## 3.9.30 NUOPC\_StateIsAllConnected - Check if all the Fields in a State are connected

### **INTERFACE:**

```
function NUOPC_StateIsAllConnected(state, rc)
```

#### RETURN VALUE:

```
logical :: NUOPC_StateIsAllConnected
```

### **ARGUMENTS:**

```
type(ESMF_State), intent(in) :: state
integer, intent(out), optional :: rc
```

## **DESCRIPTION:**

Returns .true. if all the Fields in state are connected. Otherwise returns .false..

### 3.9.31 NUOPC\_StateIsAtTime - Check if all the Fields in a State are at the given Time

## **INTERFACE**:

```
function NUOPC_StateIsAtTime(state, time, rc)
```

### RETURN VALUE:

```
logical :: NUOPC_StateIsAtTime
```

### **ARGUMENTS:**

## **DESCRIPTION:**

Returns .true. if all the Fields in state have a timestamp that matches time. Otherwise returns .false..

## 3.9.32 NUOPC\_StateIsFieldConnected - Test if Field in a State is connected

### **INTERFACE:**

```
function NUOPC_StateIsFieldConnected(state, fieldName, rc)
```

#### RETURN VALUE:

```
logical :: NUOPC_StateIsFieldConnected
```

#### **ARGUMENTS:**

## **DESCRIPTION:**

Returns .true. if Fields with name fieldName contained in state is connected. Otherwise returns .false..

### 3.9.33 NUOPC\_StateIsUpdated - Check if all the Fields in a State are marked as updated

### **INTERFACE:**

```
function NUOPC_StateIsUpdated(state, count, rc)
```

#### RETURN VALUE:

```
logical :: NUOPC StateIsUpdated
```

## ARGUMENTS:

#### **DESCRIPTION:**

Returns .true. if all the Fields in state have their "Updated" Attribute set to "true". Otherwise returns .false.. The count argument returns how many of the Flelds have the Updated" Attribute set to "true".

## 3.9.34 NUOPC\_StateRealizeField - Realize a previously advertised Field in a State

### INTERFACE:

```
subroutine NUOPC_StateRealizeField(state, field, rc)
```

### **ARGUMENTS:**

```
type(ESMF_State), intent(inout) :: state
type(ESMF_Field), intent(in) :: field
integer, intent(out), optional :: rc
```

#### DESCRIPTION:

Realizes a previously advertised Field in state.

## 3.9.35 NUOPC\_StateSetTimestamp - Set a time stamp on all Fields in a State

### INTERFACE:

```
subroutine NUOPC_StateSetTimestamp(state, clock, selective, rc)
```

### **ARGUMENTS**:

#### DESCRIPTION:

Sets the TimeStamp Attribute according to clock on all the Fields in state.

## 3.9.36 NUOPC\_StateUpdateTimestamp - Update the timestamp on all the Fields in a State

### INTERFACE:

```
subroutine NUOPC_StateUpdateTimestamp(state, rootPet, rc)
```

#### **ARGUMENTS:**

### DESCRIPTION:

Updates the TimeStamp Attribute for all the Fields on all the PETs in the current VM to the TimeStamp Attribute held by the Field instance on the rootPet.

## 3.9.37 NUOPC\_TimePrint - Formatted print ot time information

## INTERFACE:

```
subroutine NUOPC_TimePrint(time, string, unit, rc)
```

### **ARGUMENTS:**

## **DESCRIPTION:**

Write a formatted time with or without string to unit. If unit is present it must be an internal unit, i.e. a string variable. If unit is not present then the output is written to the default external unit (typically that would be stdout).

# 4 Standardized Component Dependencies

Most of the NUOPC Layer deals with specifying the interaction between ESMF components within a running ESMF application. ESMF provides several mechanisms of how an application can be made up of individual Components. This chapter deals with reigning in the many options supported by ESMF and setting up a standard way for assembling NUOPC compliant components into a working application.

ESMF supports single executable as well as some forms of multiple executable applications. Currently the NUOPC Layer only addresses the case of single executable applications. While it is generally true that executing single executable applications is easier and more widely supported than executing multiple executable applications, building a single executable from multiple components can be challenging. This is especially true when the individual components are supplied by different groups, and the assembly of the final application happens apart from the component development. The purpose of standardizing component dependencies as part of the NUOPC Layer is to provide a solution to the technical aspect of assembling applications built from NUOPC compliant components.

As with the other parts of the NUOPC Layer, the standardized component dependencies specify aspects that ESMF purposefully leaves unspecified. Having a standard way to deal with component dependencies has several advantages. It makes reading and understand NUOPC compliant applications more easily. It also provides a means to promote best practices across a wide range of application systems. Ultimately the goal of standardizing the component dependencies is to support "plug & build" between NUOPC compliant components and applications, where everything needed to use a component by a upper level software layer is supplied in a standard way, ready to be used by the software.

There is one aspect of the standardized component dependency that affects the component code itself: **The name of the public set services entry point into a NUOPC compliant component must be called "SetServices"**. The only exception to this rule are components that are written in C/C++ and made available for static linking. In this case, because of lack of namespace protection, the SetServices part must be followed by a component specific suffix. This will be discussed later in this chapter. For all other cases, unique namespaces exist that allow the entry point to be called SetServices across all components.

Having standardized the name of the single public entry point into a component solves the issue of having to communicate its name to the software layer that intends to use the component. At the same time, limiting the public entry point to a single accepted name does not remove any flexibility that is generally leveraged by ESMF applications. Within the context of the NUOPC Layer, there is great flexibility designed into the initialize steps. Removing the need to have to deal with alternative set services routines focuses and clarifies the NUOPC approach.

The remaining aspects of component dependency standardization all deal with build specific issues, i.e. how does the software layer that uses a component compile and link against the component code. For now the NUOPC Layer does not deal with the question on how the component itself is being built. Instead the focus is on the information that a component must provide about itself, and the format of this information, in order to be usable by another piece of software. This clear separation allows components to provide their own independent build system, which often is critical to ensure bit-for-bit reproducibility. At the same time it does not prevent build systems to be connected top-down if that is desirable.

Technically the problem of passing component specific build information up the build hierarchy is solved by using GNU makefile fragments that allow every component to provide information in form of variables to the upper level build system. The NUOPC Layer standardization requires that: **Every component must provide a makefile fragment that defines 6 variables:** 

```
ESMF_DEP_FRONT
ESMF_DEP_INCPATH
ESMF_DEP_CMPL_OBJS
ESMF_DEP_LINK_OBJS
ESMF_DEP_SHRD_PATH
ESMF_DEP_SHRD_LIBS
```

The convention for makefile fragments is to provide them in files with a suffix of .mk. The NUOPC Layer currently adds no further restriction to the name of the makefile fragment file of a component. There seems little gain in

standardizing the name of the NUOPC compliant makefile fragment of a component since the location must be made available anyway, and adding the specific file name at the end of the supplied path does not appear inappropriate.

The meaning of the 6 makefile variables is defined in a manner that supports many different situations, ranging from simple statically linked components to situations where components are made available in shared objects, not loaded by the application until needed during runtime. The design idea of the NUOPC Layer component makefile fragment is to have each component provide a simple makefile fragment that is self-describing. Usage of advanced options requires a more sophisticated build system on the software layer that *uses* the component, while at the same time the same standard format is able to keep simple situations simple.

An indepth understanding of the capabilities of the NUOPC Layer build dependency standard requires looking at various common cases in detail. The remainder of this chapter is dedicated to this effort. Here a general definition of each variable is provided.

- ESMF\_DEP\_FRONT The name of the Fortran module to be used in a USE statement, or (if it ends in ".h") the name of the header file to be used in an #include statement, or (if it ends in ".so") the name of the shared object to be loaded at run-time.
- ESMF\_DEP\_INCPATH The include path to find module or header files during compilation. Must be specified as absolute path.
- ESMF\_DEP\_CMPL\_OBJS Object files that need to be considered as compile dependencies. Must be specified with absolute path.
- ESMF\_DEP\_LINK\_OBJS Object files that need to be considered as link dependencies. Must be specified with absolute path.
- ESMF\_DEP\_SHRD\_PATH The path to find shared libraries during link-time (and during run-time unless over-ridden by LD\_LIBRARY\_PATH). Must be specified as absolute path.
- ESMF\_DEP\_SHRD\_LIBS Shared libraries that need to be specified during link-time, and must be available during run-time. Must be specified with absolute path.

The following sections discuss how the standard makefile fragment is utilized in common use cases. It shows how the .mk file would need to look like in these cases. Each section further contains hints of how a compliant .mk file can be auto-generated by the component build system (provider side), as well as hints on how it can be used by an upper level software layer (consumer side). Makefile segments provided in these hint sections are *not* part of the NUOPC Layer component dependency standard. They are only provided here as a convenience to the user, showing best practices of how the standard .mk files can be used in practice. Any specific compiler and linker flags shown in the hint sections are those compliant with the GNU Compiler Collection.

The NUOPC Layer standard only covers the contents of the .mk file itself.

## 4.1 Fortran components that are statically built into the executable

Statically building a component into the executable requires that the associated files (object files, and for Fortran the associated module files) are available when the application is being built. It makes the component code part of the executable. A change in the component code requires re-compilation and re-linking of the executable.

A NUOPC compliant Fortran component that defines its public entry point in a module called "ABC", where all component code is contained in a single object file called "abc.o", makes itself available by providing the following .mk file:

```
ESMF_DEP_LINK_OBJS = <absolute path>/abc.o
ESMF_DEP_SHRD_PATH =
ESMF_DEP_SHRD_LIBS =
```

If, however, the component implementation is spread across several object files (e.g. abc.o and xyz.o), they must all be listed in the ESMF\_DEP\_LINK\_OBJS variable:

In cases that require a large number of object files to be linked into the executable it is often more convenient to provide them in an archive file, e.g. "libABC.a". Archive files are also specified in ESMF\_DEP\_LINK\_OBJS:

**Hints for the provider side:** A build rule for creating a compliant self-describing .mk file can be added to the component's makefile. For the case that component "ABC" is implemented in object files listed in variable "OBJS", a build rule that produces "abc.mk" could look like this:

```
.PRECIOUS: %.o
%.mk : %.o
        @echo "# ESMF self-describing build dependency makefile fragment" > $@
        @echo >> $@
        @echo "ESMF DEP FRONT
                                                                    >> $@
                                   = ABC"
        @echo "ESMF_DEP_INCPATH
                                   " 'bwa' =
                                                                    >> $@
        @echo "ESMF_DEP_CMPL_OBJS = 'pwd'/"$<</pre>
                                                                    >> $@
        @echo "ESMF_DEP_LINK_OBJS = "$(addprefix `pwd`/, $(OBJS)) >> $@
        @echo "ESMF DEP SHRD PATH = "
                                                                    >> $@
        @echo "ESMF_DEP_SHRD_LIBS = "
                                                                    >> $@
abc.mk: $(OBJS)
```

**Hints for the consumer side:** The format of the NUOPC compliant .mk files allows the consumer side to collect the information provided by multiple components into one set of internal variables. Notice that in the makefile code below it is critical to use the := style assignment instead of a simple = in order to have the assignment be based on the *current* value of the right hand variables.

```
include abc.mk

DEP_FRONTS := $(DEP_FRONTS) -DFRONT_ABC=$(ESMF_DEP_FRONT)

DEP_INCS := $(DEP_INCS) $(addprefix -I, $(ESMF_DEP_INCPATH))

DEP_CMPL_OBJS := $(DEP_CMPL_OBJS) $(ESMF_DEP_CMPL_OBJS)

DEP_LINK_OBJS := $(DEP_LINK_OBJS) $(ESMF_DEP_LINK_OBJS)

DEP_SHRD_PATH := $(DEP_SHRD_PATH) $(addprefix -L, $(ESMF_DEP_SHRD_PATH))
```

```
DEP_SHRD_LIBS := $(DEP_SHRD_LIBS) $(addprefix -1, $(ESMF_DEP_SHRD_LIBS))
include xyz.mk
DEP_FRONTS := $(DEP_FRONTS) -DFRONT_XYZ=$(ESMF_DEP_FRONT)
DEP_INCS := $(DEP_INCS) $(addprefix -I, $(ESMF_DEP_INCPATH))
DEP_CMPL_OBJS := $(DEP_CMPL_OBJS) $(ESMF_DEP_CMPL_OBJS)
DEP_LINK_OBJS := $(DEP_LINK_OBJS) $(ESMF_DEP_LINK_OBJS)
DEP_SHRD_PATH := $(DEP_SHRD_PATH) $(addprefix -L, $(ESMF_DEP_SHRD_PATH))
DEP_SHRD_LIBS := $(DEP_SHRD_LIBS) $(addprefix -1, $(ESMF_DEP_SHRD_LIBS))
```

Besides the accumulation of information into the internal variables, there is a small amount of processing going on. The module name provided by the ESMF\_DEP\_FRONT variable is assigned to a pre-processor macro. The intention of this macro is to be used in a Fortran USE statement to access the Fortran module that contains the public access point of the component.

The include paths in ESMF\_DEP\_INCPATH are prepended with the appropriate compiler flag (here "-I"). The ESMF\_DEP\_SHRD\_PATH and ESMF\_DEP\_SHRD\_LIBS variables are also prepended by the respective compiler and linker flags in case a component brings in a shared library dependencies.

Once the .mk files of all component dependencies have been included and processed in this manner, the internal variables can be used in the build system of the application layer, as shown in the following example:

```
.SUFFIXES: .f90 .F90 .c .C
%.o: %.f90
        $(ESMF F90COMPILER) -c $(DEP FRONTS) $(DEP INCS) \
$(ESMF F90COMPILEOPTS) $(ESMF F90COMPILEPATHS) $(ESMF F90COMPILEFREENOCPP) $<
%.o: %.F90
        $(ESMF_F90COMPILER) -c $(DEP_FRONTS) $(DEP_INCS) \
$(ESMF F90COMPILEOPTS) $(ESMF F90COMPILEPATHS) $(ESMF F90COMPILEFREECPP) \
$(ESMF_F90COMPILECPPFLAGS) $<
%.o: %.c
        $(ESMF CXXCOMPILER) -c $(DEP FRONTS) $(DEP INCS) \
$(ESMF CXXCOMPILEOPTS) $(ESMF CXXCOMPILEPATHSLOCAL) $(ESMF CXXCOMPILEPATHS) \
$(ESMF CXXCOMPILECPPFLAGS) $<
%.o: %.C
        $(ESMF_CXXCOMPILER) -c $(DEP_FRONTS) $(DEP_INCS) \
$(ESMF CXXCOMPILEOPTS) $(ESMF CXXCOMPILEPATHSLOCAL) $(ESMF CXXCOMPILEPATHS) \
$(ESMF_CXXCOMPILECPPFLAGS) $<
app: app.o appSub.o $(DEP_LINK_OBJS)
        $(ESMF_F90LINKER) $(ESMF_F90LINKOPTS) $(ESMF_F90LINKPATHS) \
$(ESMF_F90LINKRPATHS) -o $@ $^ $(DEP_SHRD_PATH) $(DEP_SHRD_LIBS) \
$(ESMF F90ESMFLINKLIBS)
app.o: appSub.o
appSub.o: $(DEP_CMPL_OBJS)
```

## 4.2 Fortran components that are provided as shared libraries

Providing a component in form of a shared library requires that the associated files (object files, and for Fortran the associated module files) are available when the application is being built. However, different from the statically linked case, the component code does *not* become part of the executable, instead it will be loaded separately each time the executable is loaded during start-up. This requires that the executable finds the component shared libraries, on which it depends, during start-up. A change in the component code typically does not require re-compilation and re-linking of the executable, instead a new version of the component shared library will be loaded automatically when it is available at execution start-up.

A NUOPC compliant Fortran component that defines its public entry point in a module called "ABC", where all component code is contained in a single shared library called "libABC.so", makes itself available by providing the following .mk file:

**Hints for the provider side:** The following build rule will create a compliant self-describing .mk file ("abc.mk") for a component that is made available as a shared library. The case assumes that component "ABC" is implemented in object files listed in variable "OBJS".

```
.PRECIOUS: %.so
%.mk : %.so
        @echo "# ESMF self-describing build dependency makefile fragment" > $@
        @echo >> $@
        @echo "ESMF_DEP_FRONT
                                  = ABC"
                                                                    >> $@
        @echo "ESMF_DEP_INCPATH
                                  = 'pwd'"
                                                                    >> $@
        @echo "ESMF_DEP_CMPL_OBJS = "
                                                                    >> $@
        @echo "ESMF_DEP_LINK_OBJS = "
                                                                    >> $@
        @echo "ESMF DEP SHRD PATH = 'pwd'"
                                                                    >> $@
        @echo "ESMF DEP SHRD LIBS = "$*
                                                                    >> $@
abc.mk:
abc.so: $(OBJS)
        $(ESMF_CXXLINKER) -shared -o $@ $<</pre>
        mv $@ lib$@
        rm -f $<
```

**Hints for the consumer side:** The format of the NUOPC compliant .mk files allows the consumer side to collect the information provided by multiple components into one set of internal variables. This is independent on whether some or all of the components are provided as shared libraries.

The path specified in ESMF\_DEP\_SHRD\_PATH is required when building the executable in order for the linker to find the shared library. Depending on the situation, it may be desirable to also encode this search path into the executable through the RPATH mechanism as shown below. However, in some cases, e.g. when the actual shared library to be used during execution is *not* available from the same location as during build-time, it may not be useful to encode the RPATH. In either case, having set the LD\_LIBRARY\_PATH environment variable to the desired location of the shared library at run-time will ensure that the correct library file is found.

Notice that in the makefile code below it is critical to use the := style assignment instead of a simple = in order to have the assignment be based on the *current* value of the right hand variables.

```
include abc.mk

DEP_FRONTS := $(DEP_FRONTS) -DFRONT_ABC=$(ESMF_DEP_FRONT)

DEP_INCS := $(DEP_INCS) $(addprefix -I, $(ESMF_DEP_INCPATH))

DEP_CMPL_OBJS := $(DEP_CMPL_OBJS) $(ESMF_DEP_CMPL_OBJS)

DEP_LINK_OBJS := $(DEP_LINK_OBJS) $(ESMF_DEP_LINK_OBJS)

DEP_SHRD_PATH := $(DEP_SHRD_PATH) $(addprefix -L, $(ESMF_DEP_SHRD_PATH)) \
    $(addprefix -Wl$(COMMA)-rpath$(COMMA), $(ESMF_DEP_SHRD_PATH))

DEP_SHRD_LIBS := $(DEP_SHRD_LIBS) $(addprefix -1, $(ESMF_DEP_SHRD_LIBS))
```

(Here COMMA is a variable that contains a single comma which would cause syntax issues if it was written into the "addprefix" command directly.)

The internal variables set by the above makefile code can then be used by exactly the same makefile rules shown for the statically linked case. In fact, component "ABC" that comes in through "abc.mk" could either be a statically linked component or a shared library component. The makefile code shown here for the consumer side handles both cases alike.

## 4.3 Components that are loaded during run-time as shared objects

Making components available in the form of shared objects allows the executable to be built in the complete absence of any information that depends on the component code. The only information required when building the executable is the name of the shared object file that will supply the component code during run-time. The shared object file of the component can be replaced at will, and it is not until run-time, when the executable actually tries to access the component, that the shared object must be available to be loaded.

A NUOPC compliant component where all component code, including its public access point, is contained in a single shared object called "abc.so", makes itself available by providing the following .mk file:

```
ESMF_DEP_FRONT = abc.so
ESMF_DEP_INCPATH =
ESMF_DEP_CMPL_OBJS =
ESMF_DEP_LINK_OBJS =
ESMF_DEP_SHRD_PATH =
ESMF_DEP_SHRD_LIBS =
```

The other parts of the .mk file may be utilized in special cases, but typically the shared object should be self-contained.

It is interesting to note that at this level of abstraction, there is no more difference between a component written in Fortran, and a component written in in C/C++. In both cases the public entry point available in the shared object must be SetServices as required by the NUOPC Layer component dependency standard. (NUOPC does allow for customary name mangling by the Fortran compiler.)

**Hints for the provider side:** The following build rule will create a compliant self-describing .mk file ("abc.mk") for a component that is made available as a shared object. The case assumes that component "ABC" is implemented in object files listed in variable "OBJS".

**Hints for the consumer side:** The format of the NUOPC compliant .mk files still allows the consumer side to collect the information provided by multiple components into one set of internal variables. This still holds when some or all of the components are provided as shared objects. In fact it is very simple to make all of the component sections in the consumer makefile handle both cases.

Notice that in the makefile code below it is critical to use the := style assignment instead of a simple = in order to have the assignment be based on the *current* value of the right hand variables.

```
include abc.mk
ifneq (,$(findstring .so,$(ESMF_DEP_FRONT)))
           := $(DEP_FRONTS) -DFRONT_SO_ABC=\"$(ESMF_DEP_FRONT)\"
DEP_FRONTS
else
DEP FRONTS
              := $(DEP_FRONTS) -DFRONT_ABC=$(ESMF_DEP_FRONT)
endif
DEP_FRONTS
              := $(DEP_FRONTS) -DFRONT_ABC=$(ESMF_DEP_FRONT)
DEP INCS
              := $(DEP_INCS) $(addprefix -I, $(ESMF_DEP_INCPATH))
DEP_CMPL_OBJS := $(DEP_CMPL_OBJS) $(ESMF_DEP_CMPL_OBJS)
DEP_LINK_OBJS := $(DEP_LINK_OBJS) $(ESMF_DEP_LINK_OBJS)
DEP_SHRD_PATH := $(DEP_SHRD_PATH) $(addprefix -L, $(ESMF_DEP_SHRD_PATH)) \
  $(addprefix -Wl$(COMMA)-rpath$(COMMA), $(ESMF_DEP_SHRD_PATH))
DEP_SHRD_LIBS := $(DEP_SHRD_LIBS) $(addprefix -1, $(ESMF_DEP_SHRD_LIBS))
```

The above makefile segment supports component "ABC" that is described in "abc.mk" to be made available as a Fortran static component, a Fortran shared library, or a shared object. The conditional around assigning variable DEP\_FRONTS either leads to having set the macro FRONT\_ABC as before, or setting a different macro FRONT\_SO\_ABC. The former indicates that a Fortran module is available for the component and requires a USE statement in the code. The latter macro indicates that the component is made available through a shared object, and the macro can be used to specify the name of the shared object in the associated call.

Again the internal variables set by the above makefile code can be used by the same makefile rules shown for the statically linked case.

## 4.4 Components that depend on components

The NUOPC Layer supports component hierarchies where a component can be a child of another component. This hierarchy of components translates into component build dependencies that must be dealt with in the NUOPC Layer standardization of component dependencies.

A component that sits in an intermediate level of the component hierarchy depends on the components "below" while at the same time it introduces a dependency by itself for the parent further "up" in the hierarchy. Within the NUOPC Layer component dependency standard this means that the intermediate component functions as a consumer of its child components' .mk files, and as a provider of its own .mk file that is then consumed by its parent. In practice this double role translates into passing link dependencies and shared library dependencies through to the parent, while the front and compile dependency is simply defined my the intermediate component itself.

Consider a NUOPC compliant component that defines its public entry point in a module called "ABC", and where all component code is contained in a single object file called "abc.o". Further assume that component "ABC" depends on two components "XXX" and "YYY", where "XXX" provides the .mk file:

and "YYY" provides the following:

Then the .mk file provided by "ABC" needs to contain the following information:

**Hints for an intermediate component that is consumer and provider:** For the consumer side it is convenient to collect the information provided by multiple component dependencies into one set of internal variables. However, the details on how some of the imported information is processed into the internal variables depends on whether the intermediate component is going to make itself available for static or dynamic access.

In the static case all link and shared library dependencies must be passed to the next higher level, and these dependencies should simply be collected and passed on to the next level:

```
include xxx.mk
DEP_FRONTS := $(DEP_FRONTS) -DFRONT_XXX=$(ESMF_DEP_FRONT)
DEP INCS
             := $(DEP INCS) $(addprefix -I, $(ESMF DEP INCPATH))
DEP_CMPL_OBJS := $(DEP_CMPL_OBJS) $(ESMF_DEP_CMPL_OBJS)
DEP_LINK_OBJS := $(DEP_LINK_OBJS) $(ESMF_DEP_LINK_OBJS)
DEP_SHRD_PATH := $(DEP_SHRD_PATH) $(ESMF_DEP_SHRD_PATH)
DEP_SHRD_LIBS := $(DEP_SHRD_LIBS) $(ESMF_DEP_SHRD_LIBS)
include yyy.mk
DEP FRONTS
             := $(DEP FRONTS) -DFRONT YYY=$(ESMF DEP FRONT)
              := $(DEP_INCS) $(addprefix -I, $(ESMF_DEP_INCPATH))
DEP INCS
DEP_CMPL_OBJS := $(DEP_CMPL_OBJS) $(ESMF_DEP_CMPL_OBJS)
DEP_LINK_OBJS := $(DEP_LINK_OBJS) $(ESMF_DEP_LINK_OBJS)
DEP_SHRD_PATH := $(DEP_SHRD_PATH) $(ESMF_DEP_SHRD_PATH)
DEP SHRD LIBS := $(DEP SHRD LIBS) $(ESMF DEP SHRD LIBS)
.PRECIOUS: %.o
```

```
@echo "# ESMF self-describing build dependency makefile fragment" > $@
@echo >> $@
@echo "ESMF_DEP_FRONT
                           = ABC"
                                                           >> $@
@echo "ESMF_DEP_INCPATH
                           = 'pwd'"
                                                           >> $@
@echo "ESMF_DEP_CMPL_OBJS = 'pwd'/"$<</pre>
                                                           >> $@
@echo "ESMF_DEP_LINK_OBJS = 'pwd'/"$< $(DEP_LINK_OBJS)</pre>
                                                           >> $@
@echo "ESMF DEP SHRD PATH = " $(DEP SHRD PATH)
                                                           >> $@
@echo "ESMF_DEP_SHRD_LIBS = " $(DEP_SHRD_LIBS)
                                                           >> $@
```

In the case where the intermediate component is linked into a dynamic library, or a dynamic object, all of its object and shared library dependencies can be linked in. In this case it is more useful to do some processing on the shared library dependencies, and not to include them in the produced .mk file.

```
include xxx.mk
DEP_FRONTS
           := $(DEP FRONTS) -DFRONT XXX=$(ESMF DEP FRONT)
              := $(DEP_INCS) $(addprefix -I, $(ESMF_DEP INCPATH))
DEP_INCS
DEP_CMPL_OBJS := $(DEP_CMPL_OBJS) $(ESMF_DEP_CMPL_OBJS)
DEP_LINK_OBJS := $(DEP_LINK_OBJS) $(ESMF_DEP_LINK_OBJS)
DEP_SHRD_PATH := $(DEP_SHRD_PATH) $(addprefix -L, $(ESMF_DEP_SHRD_PATH)) \
  $(addprefix -W1$(COMMA)-rpath$(COMMA), $(ESMF_DEP_SHRD_PATH))
DEP_SHRD_LIBS := $(DEP_SHRD_LIBS) $(addprefix -1, $(ESMF_DEP_SHRD_LIBS))
include yyy.mk
              := $(DEP_FRONTS) -DFRONT_YYY=$(ESMF_DEP_FRONT)
DEP_FRONTS
DEP INCS
              := $(DEP_INCS) $(addprefix -I, $(ESMF_DEP_INCPATH))
DEP_CMPL_OBJS := $(DEP_CMPL_OBJS) $(ESMF_DEP_CMPL_OBJS)
DEP_LINK_OBJS := $(DEP_LINK_OBJS) $(ESMF_DEP_LINK_OBJS)
DEP SHRD PATH := $(DEP SHRD PATH) $(addprefix -L, $(ESMF DEP SHRD PATH)) \
  $(addprefix -Wl$(COMMA)-rpath$(COMMA), $(ESMF_DEP_SHRD_PATH))
DEP SHRD LIBS := $(DEP SHRD LIBS) $(addprefix -1, $(ESMF DEP SHRD LIBS))
.PRECIOUS: %.o
%.mk : %.o
@echo "# ESMF self-describing build dependency makefile fragment" > $@
@echo >> $@
@echo "ESMF DEP FRONT
                                                         >> $@
                          = ABC"
@echo "ESMF DEP INCPATH = 'pwd'"
                                                         >> $@
@echo "ESMF_DEP_CMPL_OBJS = 'pwd'/"$<</pre>
                                                         >> $@
@echo "ESMF_DEP_LINK_OBJS = 'pwd'/"$<</pre>
                                                         >> $@
@echo "ESMF_DEP_SHRD_PATH = "
                                                         >> $@
@echo "ESMF DEP SHRD LIBS = "
                                                         >> $@
```

## 4.5 Components written in C/C++

ESMF provides a basic C API that supports writing components in C or C++. There is currently no C version of the NUOPC Layer API available, making it harder, but not impossible to write NUOPC Layer compliant ESMF components in C/C++. For the sake of completeness, the NUOPC component dependency standardization does cover the case of components being written in C/C++.

The issue of whether a component is written in Fortran or C/C++ only matters when the dependent software layer has a compile dependency on the component. In other words, components that are accessed through a shared object have no compile dependency, and the language is of no effect (see 4.3). However, components that are statically linked or made available through shared libraries do introduce compile dependencies. These compile dependencies become language

dependent: a Fortran component must be accessed via the USE statement, while a component with a C interface must be accessed via #include.

The decision between the three cases: compile dependency on a Fortran component, compile dependency on a C/C++ component, or no compile dependency can be made on the ESMF\_DEP\_FRONT variable. By default it is assumed to contain the name of the Fortran module that provides the public entry point into a component written in Fortran. However, if the contents of the ESMF\_DEP\_FRONT variable ends in .h, it is interpreted as the header file of a component with a C interface. Finally, if it ends in .so, there is no compile dependency, and the component is accessible through a shared object.

A NUOPC compliant component written in C/C++ that defines its public access point in "abc.h", where all component code is contained in a single object file called "abc.o", makes itself available by providing the following .mk file:

### **Hints for the implementor:**

There are a few subtle complications to cover for the case where a component with C interface comes in as a compile dependency. First there is Fortran name mangling of symbols which includes underscores, but also changes to lower or upper case letters. The ESMF C interface provides a macro (FTN\_X) that deals with the underscore issue on the C component side, but it cannot address the lower/upper case issue. The ESMF convention for using C in Fortran assumes all external symbols lower case. The NUOPC Layer follows this convention in accessing components with C interface from Fortran.

Secondly, there is no namespace protection of the public entry points. For this reason, the public entry point cannot just be setservices for all components written in C. Instead, for components with C interface, the public entry point must be setservices\_name, where "name" is the same as the root name of the header file specified in ESMF\_DEP\_FRONT. (The absence of namespace protection is still an issue where multiple C components with the same name are specified. This case requires that components are renamed to something more unique.)

Finally there is the issue of providing an explicit Fortran interface for the public entry point. One way of handling this is to provide the explicit Fortran interface as part of the components header file. This is essentially a few lines of Fortran code that can be used by the upper software layer to implement the explicit interface. As such it must be protected from being processed by the C/C++ compiler:

```
type(ESMF_GridComp) :: gcomp
  integer, intent(out) :: rc
  end subroutine
end interface
#endif
```

An upper level software layer that intends to use a component that comes with such a header file can then use it directly on the Fortran side to make the component available with an explicit interface. For example, assuming the macro FRONT\_H\_ATMF holds the name of the associated header file:

```
#ifdef FRONT_H_ATMF
module ABC
#include FRONT_H_ATMF
end module
#endif
```

This puts the explicit interface of the setservices\_abc entry point into a module named "ABC". Except for this small block of code, the C/C++ component becomes indistinguishable from a component implemented in Fortran.

**Hints for the provider side:** Adding a build rule for creating a compliant self-describing . mk file into the component's makefile is straight forward. For the case that the component in "abc.h" is implemented in object files listed in variable "OBJS", a build rule that produces "abc.mk" could look like this:

```
.PRECIOUS: %.o
%.mk : %.o
@echo "# ESMF self-describing build dependency makefile fragment" > $@
@echo >> $@
@echo "ESMF_DEP_FRONT
                           = abc.h"
                                        >> $@
@echo "ESMF_DEP_INCPATH = 'pwd'"
                                         >> $@
@echo "ESMF_DEP_CMPL_OBJS = 'pwd'/"$<</pre>
                                         >> $@
@echo "ESMF_DEP_LINK_OBJS = 'pwd'/"$<</pre>
                                         >> $@
@echo "ESMF_DEP_SHRD_PATH = "
                                         >> $@
@echo "ESMF_DEP_SHRD_LIBS = "
                                         >> $@
abc.mk:
abc.o: abc.h
```

Hints for the consumer side: The format of the NUOPC compliant .mk files still allows the consumer side to collect the information provided by multiple components into one set of internal variables. This still holds even when any of the provided components could come in as a Fortran component for static linking, as a C/C++ component for static linking, or as a shared object. All of the component sections in the consumer makefile can be made capable of handling all three cases. However, if it is clear that a certain component is for sure supplied as one of these flavors, it may be clearer to hard-code support for only one mechanism for this component.

Notice that in the makefile code below it is critical to use the := style assignment instead of a simple = in order to have the assignment be based on the *current* value of the right hand variables.

This example shows how the section for a specific component can be made compatible with all component dependency modes:

```
include abc.mk
```

```
ifneq (,$(findstring .h,$(ESMF_DEP_FRONT)))
DEP FRONTS
              := $(DEP FRONTS) -DFRONT H ABC=\"$(ESMF DEP FRONT)\"
else ifneq (,$(findstring .so,$(ESMF_DEP_FRONT)))
DEP FRONTS
              := $(DEP_FRONTS) -DFRONT_SO_ABC=\"$(ESMF_DEP_FRONT)\"
else
DEP_FRONTS
              := $(DEP FRONTS) -DFRONT ABC=$(ESMF DEP FRONT)
endif
DEP FRONTS
              := $(DEP FRONTS) -DFRONT ABC=$(ESMF DEP FRONT)
              := $(DEP_INCS) $(addprefix -I, $(ESMF_DEP_INCPATH))
DEP INCS
DEP_CMPL_OBJS := $(DEP_CMPL_OBJS) $(ESMF_DEP_CMPL_OBJS)
DEP_LINK_OBJS := $(DEP_LINK_OBJS) $(ESMF_DEP_LINK_OBJS)
DEP_SHRD_PATH := $(DEP_SHRD_PATH) $(addprefix -L, $(ESMF_DEP_SHRD_PATH)) \
  $(addprefix -W1$(COMMA)-rpath$(COMMA), $(ESMF_DEP_SHRD_PATH))
DEP_SHRD_LIBS := $(DEP_SHRD_LIBS) $(addprefix -1, $(ESMF_DEP_SHRD_LIBS))
```

The above makefile segment will end up setting macro FRONT\_H\_ABC to the header file name, if the component described in "abc.mk" is a C/C++ component. It will instead set macro FRONT\_SO\_ABC to the shared object if this is how the component is made available, or set macro FRONT\_ABC to the Fortran module name if that is the mechanism for gaining access to the component code. The calling code can use these macros to activate the corresponding code, as well as has access to the required name string in each case

The internal variables set by the above makefile code can be used by the same makefile rules shown for the statically linked case. This usage implements the correct dependency rules, and passes the macros through the compiler flags.

# 5 NUOPC Layer Compliance

The NUOPC Layer introduces a modeling system architecture based on Models, Mediators, Connectors, and Drivers. The Layer defines the rules of engagement between these components. Many of these rules are formulated on the basis of metadata. This metadata can be expected for compliance.

One of the challenges when inspecting a component for NUOPC Layer compliance is that many of the rules of engagement are run-time rules. This means that they address the dynamical behavior of a component during run-time. For this reason, comprehensive compliance testing cannot be done statically but requires the execution of code.

Currently there are two sets of tools available to address the issue of NUOPC Layer compliance testing. The *Compliance Checker* is a runtime analysis tool that can be enabled by setting an ESMF environment variable at runtime. When active, the Compliance Checker intercepts all interactions between components that go throught the ESMF component interface, and analyses them with respect to the NUOPC Layer rules of engagement. Warnings are printed to the log files when issues or non-compliances are detected.

The *Component Explorer* is another compliance testing tool. It focuses on interacting with a single component, and analyzing it during the early initialization phases. The Component Explorer and Compliance Checker are compatible with each other and it is often useful to use them both at the same time.

## 5.1 The Compliance Checker

The NUOPC Compliance Checker is a run-time analysis tool that can be turned on for any ESMF application. The Compliance Checker is turned off by default, as to not negatively affect performance critical runs. The Compliance Checker is enabled by setting the following ESMF runtime environment variable:

```
ESMF RUNTIME COMPLIANCECHECK=ON
```

As a run-time variable, setting it does not require recompilation of the ESMF library or the user application. The same executable and library will start to generate Compliance Checker output when the above variable is found set during execution.

The function of the Compliance Checker is to intercept all interactions between the components of an ESMF application, and to analyze them according to the NUOPC Layer rules of engagement. The following aspects are currently reported on:

- Presence of the standard ESMF Initialize, Run, and Finalize methods and the number of phases in each.
- Timekeeping and whether it conforms with the NUOPC Layer rules.
- Fields or FieldBundles (not Arrays/ArrayBundles) being passed between Components.
- Details about the Fields being passed through import and export States.
- Component and Field metadata.

Besides the above aspects, the output of the Compliance Checker also provides a means to easily get an idea of the exact dynamical control flow between the components of an application.

The Compliance Checker uses the ESMF Log facility to produce the compliance report during the execution of an ESMF application. The output is located in the default ESMF Log files. There are advantages of using the existing Log facility to generate the compliance report. First, the ESMF Log facility offers time stamping of messages, and deals with all of the file access and multi-PET issues. Second, going through the ESMF Log guarantees that all the output appears in the correct chronological order. This applies to all of the output, including entries from other ESMF system levels or from the user level.

A sample output of the Compliance Checker output in action:

```
20131108 172844.458 INFO
                                                     PETO COMPLIANCECHECKER: |-> |-> | -> : ATM: > START register compliance check
                                                     PETO COMPLIANCECHECKER: |-> |-> -> |-> ATM: phase Zero for Initialize registered.

PETO COMPLIANCECHECKER: |-> |-> |-> |-> ATM: phase Zero for Initialize registered.

PETO COMPLIANCECHECKER: |-> |-> |-> ATM: 1 phase(s) of Run registered.

PETO COMPLIANCECHECKER: |-> |-> |-> ATM: 1 phase(s) of Finalize registered.
20131108 172844.458 INFO
20131108 172844.458 INFO
20131108 172844.458 INFO
20131108 172844.458 INFO
                                                                                                             ->:ATM:STOP register compliance check.
->:ATM:STOP register compliance check.
->:ATM2MED:START register compliance check.
20131108 172844.458 TNFC
                                                      PETO COMPLIANCECHECKER: |->|->|
PETO COMPLIANCECHECKER: |->|->|
                                                     PETO COMPLIANCECHECKER: |->| PETO COMPLIANCECHECKER: |->| PETO COMPLIANCECHECKER: |->| ->|
20131108 172844.458 INFO
                                                                                                                                                         3 phase(s) of Initialize registered.
1 phase(s) of Run registered.
1 phase(s) of Finalize registered.
20131108 172844.458 INFO
                                                      PETO COMPLIANCECHECKER: | -> | -> |
                                                                                                              ->:ATM2MED:
20131108 172844.458 INFO
20131108 172844.458 INFO
                                                     PETO COMPLIANCECHECKER: |->|->|
PETO COMPLIANCECHECKER: |->|->|
                                                                                                              ->:ATM2MED:
20131108 172844.458 INFO
                                                     PETO COMPLIANCECHECKER: | -> | -> |
                                                                                                             ->:ATM2MED:>STOP register compliance check.
                                                     PETO COMPLIANCECHECKER: |->|->|->| MEDZATM: START register compliance check.

PETO COMPLIANCECHECKER: |->|->|->| MEDZATM: phase Zero for Initialize registered.

PETO COMPLIANCECHECKER: |->|->|->| MEDZATM: 3 phase(s) of Initialize registered.

PETO COMPLIANCECHECKER: |->|->|->| MEDZATM: 1 phase(s) of Run registered.
20131108 172844.458 INFO
20131108 172844.458 INFO
20131108 172844.458 TNFO
20131108 172844.458 INFO
20131108 172844.458 INFO
                                                     PETO COMPLIANCECHECKER: | > | -> | -> | MEDZATM:
PETO COMPLIANCECHECKER: | -> | -> | -> | MEDZATM:
PETO COMPLIANCECHECKER: | -> | -> | -> | MEDZATM:
                                                                                                             ->:MEDZATM: 1 phase(s) of Finalize registered.
->:MEDZATM: 1 phase(s) of Finalize registered.
20131108 172844.459 INFO
                                                     PETO COMPLIANCECHECKER: | -> | -> |
                                                                                                             ->:ATM: >START InitializePrologue for phase=
                                                                                                             ->:ATM: importState name: modelComp 1 Import State
->:ATM: importState stateintent: ESMF_STATEINTENT_IMPORT
->:ATM: importState itemCount: 0
20131108 172844.459 INFO
20131108 172844.459 INFO
                                                     PETO COMPLIANCECHECKER: |-> |->
                                                      DETO COMDITANCECHECKER: |-> |->
                                                                                                             ->:ATM: exportState name: modelComp 1 Export State
20131108 172844.459 INFO
                                                     PETO COMPLIANCECHECKER: | -> | -> |
20131108 172844.459 INFO
                                                     PETO COMPLIANCECHECKER: |-> |-> |-> |-> |-> ATM: exportState stateintent: ESMF_STATEINTENT_EXPORT
                                                                                                             ->:ATM: exportState stateintent: ESMF_STATEINTENT_EXPORT
->:ATM: exportState itemCount: 0
->:ATM: exportState itemCount: 0
->:ATM: ESMF Stats: the virtual memory used by this PET (in KB): 974868
->:ATM:ESMF Stats: the physical memory used by this PET (in KB): 49440
->:ATM:ESMF Stats: ESMF Fortran objects referenced by the ESMF garbage collection: 0
->:ATM:ESMF Stats: ESMF objects (F & C++) referenced by the ESMF garbage collection: 0
->:ATM:STOP InitializePrologue for phase=
0
.TMM:STOP InitializePrologue for phase=
0
.TMM:STOP InitializePrologue for phase=
0
20131108 172844.459 INFO
20131108 172844.459 INFO
20131108 172844.459 INFO
20131108 172844.459 INFO
                                                     PETO COMPLIANCECHECKER: |->|->|
PETO COMPLIANCECHECKER: |->|->|
                                                     PETO COMPLIANCECHECKER: | -> | -> |
20131108 172844 459 TNEC
                                                     DETO COMPLIANCECHECKER: |-> |->
20131108 172844.459 INFO
20131108 172844.459 INFO
20131108 172844.459 INFO
                                                     PETO COMPLIANCECHECKER: |->|->|
PETO COMPLIANCECHECKER: |->|->|
                                                   20131108 172844.459 INFO
                                                     PETO COMPLIANCECHECKER: | <- | <- | <- : ATM: >START InitializeEpilogue for phase=
20131108 172844.459 INFO
20131108 172844.459 WARNING
20131108 172844.459 INFO
20131108 172844.459 INFO
20131108 172844.460 TNFO
```

```
| 20131108 172844.460 INFO | PETO COMPLIANCECHECKER: | < | < | < : ATM: Component level attribute: <InitializePhaseMap>[3] present and set: IPDv02p4=3 |
20131108 172844.460 INFO | PETO COMPLIANCECHECKER: | < | < | < : ATM: Component level attribute: <InitializePhaseMap>[4] present and set: IPDv02p5=5 |
20131108 172844.460 INFO | PETO COMPLIANCECHECKER: | < | < | < : ATM: Component level attribute: <NestingGeneration> present and set: IPDv02p5=5 |
20131108 172844.460 INFO | PETO COMPLIANCECHECKER: | < | < | < : ATM: Component level attribute: <NestingGeneration> present and set: IPDv02p5=5 |
20131108 172844.460 INFO | PETO COMPLIANCECHECKER: | < | < | < : ATM: importState tane: modelComp I Import State |
20131108 172844.460 INFO | PETO COMPLIANCECHECKER: | < | < | < : ATM: importState itemCount: |
20131108 172844.460 INFO | PETO COMPLIANCECHECKER: | < | < | < : ATM: importState itemCount: |
20131108 172844.460 INFO | PETO COMPLIANCECHECKER: | < | < | < : ATM: exportState stateintent: ESMF_STATEINTENT_IMPORT |
20131108 172844.460 INFO | PETO COMPLIANCECHECKER: | < | < | < : ATM: exportState itemCount: |
20131108 172844.460 INFO | PETO COMPLIANCECHECKER: | < | < | < : ATM: exportState itemCount: |
20131108 172844.460 INFO | PETO COMPLIANCECHECKER: | < | < | < : ATM: exportState itemCount: |
20131108 172844.460 INFO | PETO COMPLIANCECHECKER: | < | < | < : ATM: exportState itemCount: |
20131108 172844.460 INFO | PETO COMPLIANCECHECKER: | < | < | < : ATM: exportState itemCount: |
20131108 172844.460 INFO | PETO COMPLIANCECHECKER: | < | < | < : ATM: exportState itemCount: |
20131108 172844.460 INFO | PETO COMPLIANCECHECKER: | < | < | < : ATM: exportState itemCount: |
20131108 172844.460 INFO | PETO COMPLIANCECHECKER: | < | < | < : ATM: exportState itemCount: |
20131108 172844.460 INFO | PETO COMPLIANCECHECKER: | < | < | < : ATM: exportState itemCount: |
20131108 172844.460 INFO | PETO COMPLIANCECHECKER: | < | < | < : ATM: exportState itemCount: |
20131108 172844.460 INFO | PETO COMPLIANCECHECKER: | < | < | < : ATM: ex
```

All of the output generated by the Compliance Checker contains the string COMPLIANCECHECK, which can be used to grep on. The checker currently generates two types of messages, INFO for general analysis output, and WARNING for when issues with respect to the NUOPC Layer rules are detected.

In practice, when dealing with applications that have been componentized down to a very low level of the model, the output generated by the Compliance Checker can become overwhelming. For this reason a depth parameter is available that can be specified for the Compliance Checker environment variable:

```
ESMF RUNTIME COMPLIANCECHECK=ON:depth=4
```

This will limit the number of component levels that the Compliance Checker parses (here 4 levels), starting from the top level application.

## **5.2** The Component Explorer

The NUOPC Component Explorer is a run-time tool that can be used to gain insight into a NUOPC Layer compliant component, or to test a component's compliance. The Component Explorer is currently available as a separate download from the prototype repository:

```
https://sourceforge.net/p/esmfcontrib/svn/HEAD/tree/NUOPC/trunk/ComponentExplorer/
```

There are two parts to the Component Explorer. First a script is available that can be used to compile and link the explorer application specifically against a specified component. This part of the explorer leverages the standardized component dependencies discussed in section 4. This step is executed by providing the component's mk-file to the explorer script:

```
./nuopcExplorerScript <component-mk-file>
```

Any issues found during this step are reported. The successful completion of this step will produce an executable called nuopcExplorerApp.

The second part of the Component Explorer is the explorer application. It can either be built using the explorer script as outlined above, or by using the makefile directly:

```
make nuopcExplorerApp
```

In the second case, the resulting nuopcExplorerApp will not be tied to a specific component, but expects a component in form of a shared object to be specified when executing nuopcExplorerApp. In either case the explorer application needs to be started according to the execution requirements of the component it attempts to explore. This may mean that input files must be present, and a sufficient number of processes need to be specified. In terms of the common mpirum tool launching nuopcExplorerApp may look like this

```
mpirun -np X ./nuopcExplorerApp
```

for an executable that was built against a specific component. Or like this

```
mpirun -np X ./nuopcExplorerApp <component-shared-object-file>
```

for an executable that expects a the component in form of a shared object. In both cases the output of the nuopcExplorerApp will report what it finds during the interaction with the component. The output will look similar to this:

```
NUOPC Component Explorer App
Exploring a component with a Fortran module front...
Model component # 1 InitializePhaseMap:
  IPDv00p1=1
  IPDv00p2=2
  IPDv00p3=3
  IPDv00p4=4
Model component # 1 // name = ocnA
  ocnA: <LongName> : Attribute is present but NOT set! ocnA: <ShortName> : Attribute is present but NOT set!
  ocnA: <Description> : Attribute is present but NOT set!
     _____
  ocnA: importState // itemCount = 2
  ocnA: importState // item # 001 // [FIELD] name = pmsl
               <StandardName> = air_pressure_at_sea_level
                      <Units> = Pa
                   <LongName> = Air Pressure at Sea Level
                  <ShortName> = pmsl
  ocnA: importState // item # 002 // [FIELD] name = rsns
               <StandardName> = surface_net_downward_shortwave_flux
                      <Units> = W m-2
                   <LongName> = Surface Net Downward Shortwave Flux
                  <ShortName> = rsns
  ocnA: exportState // itemCount = 1
  ocnA: exportState // item # 001 // [FIELD] name = sst
               <StandardName> = sea_surface_temperature
                      <Units> = K
                   <LongName> = Sea Surface Temperature
                  <ShortName> = sst
```

Turning on the Compliance Checker (see section 5.1) will result in additional information in the log files.